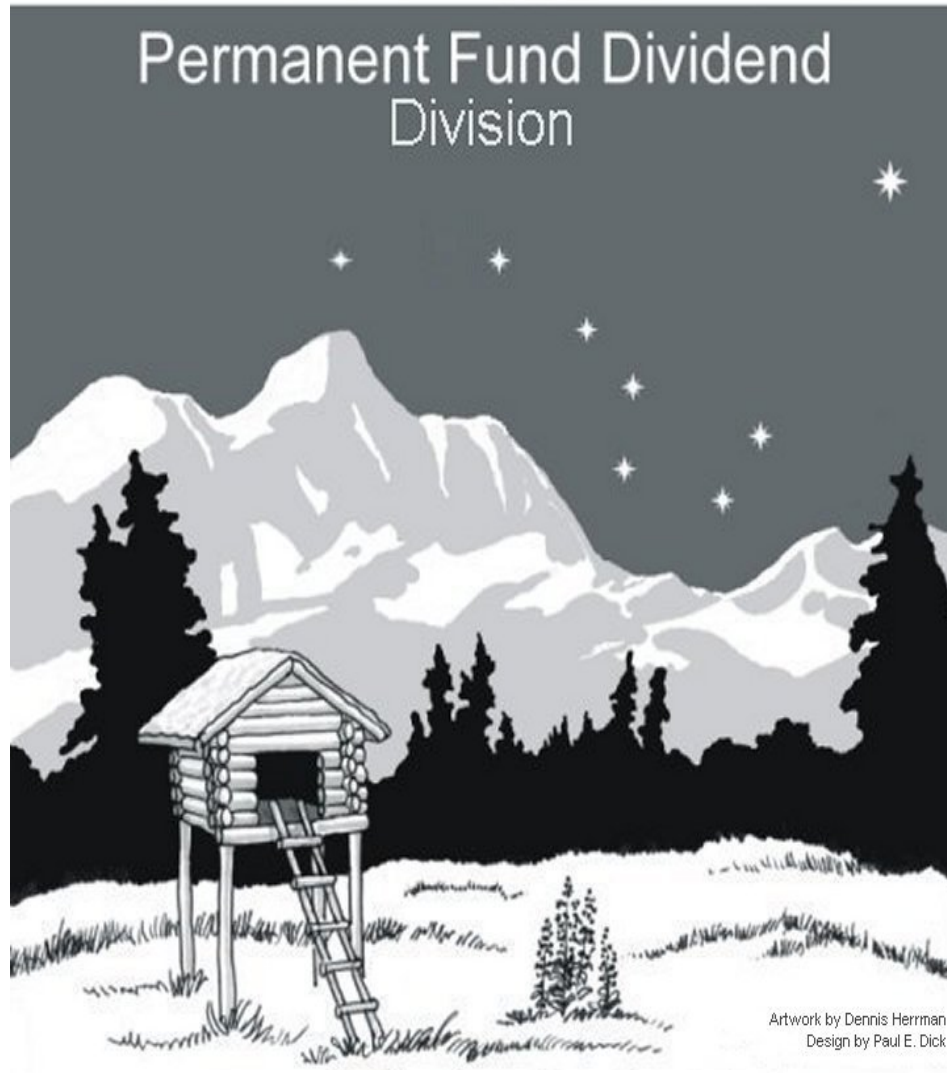


# 2003 Annual Report



*Alaskans' Cache for the Future*

*This report is available on the Internet*  
[www.pfd.state.ak.us](http://www.pfd.state.ak.us)

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# 2003

*This annual report provides information and data on the Permanent Fund Dividend program for calendar year 2003 and historical data.*

*The 2003 dividend application period was January 2 through March 31, 2003. Alaskans who were residents of the state for the entire calendar year 2002 and met other requirements were eligible for a 2003 dividend.*

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## **Dedication**

This year's annual report is dedicated to Alaskans who are serving in the U.S. armed forces. During 2003, there were about 13,500 Alaskans who were members of the active armed forces and about 4,300 members of the national guard and reserves stationed in Alaska. We are grateful to all the men and women fighting in Iraq, Afghanistan and throughout the world who are willing to risk their lives to keep our country safe from terrorism and preserve our freedom.

# *Permanent Fund Dividend 2003 Annual Report*

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## **Executive Summary Section**

This section provides an overview of highlights and accomplishments of the dividend program for 2003. It includes the dividend calculation and dividend activity for 2003 in comparison with prior year activity. This section also summarizes new Permanent Fund Dividend legislation enacted into law in 2003.

Detailed data and information specific to dividend activities are included in other sections of this report.

## 2003 in Retrospect

Despite the continuing decline in the dividend amount from the all-time highest dividend in 2000, the division experienced growth in all other areas – from applications to garnishments to direct deposit transactions. The division reached other milestones during 2003 that resulted in long-term improvements to the program and a higher quality of service to the public.

Following are highlights and accomplishments of the division during 2003.

- **Record Numbers** – The division experienced record numbers in the following areas:
  - **Applications** - number of applications increased by 1.1% from 612,200 in 2002 to 619,220 applications in 2003. The growth tracks with the increase in the state’s population over the past year.
  - **On-line Applications** - the number of on-line applications almost doubled from 80,518 in 2002 to 155,226 applications in 2003.
  - **Number of Applicants Paid** - the number of Alaskans who were eligible for and received dividends increased from 594,500 in 2002 to 598,500 applicants in 2003.
  - **Direct Deposits** - The number of applicants who requested direct deposit increased from 470,007 in 2002 (76.8%) to 482,110 in 2003 (77.8%). Direct deposits were posted to applicant accounts on October 8, 2003. Of the 482,110 applicants who requested direct deposit, 444,268 (92.1%) qualified for and received direct deposit. The total amount of direct deposit was over \$473.6 million.
  - **Garnishment Records** – The number of garnishment records received and processed increased from 140,652 records in 2002 to 151,453 records in 2003.
- **Review Inventory Completed** – For the first time in the division’s history, virtually all review cases were worked and completed by the end of the year. In prior years, the division had an inventory of review cases that carried over to the following year. The division success in closing review cases within the year was bolstered by cross-training staff outside the Review Unit, allowing those employees to close less complex cases. Also, the division evaluated workloads of technicians in the Appeals Unit and assigned cases to them.
- **New Electronic Signature** – The division partnered with Department of Administration (DOA) to incorporate DOA’s myAlaska electronic signature feature into the 2003 on-line application. The myAlaska program not only facilitated the ability for applicants to electronically sign their application but also eliminated the need for applicants to send a hardcopy signature page to the PFD Division. Even though the myAlaska program was not available until March 20, 2003, the division received 2,151 electronically signed on-line applications between that time and the March 31 filing deadline.
- **Fraud Investigations Reorganized** – In April 2003, the division reorganized its Fraud Investigations function by transferring the division’s investigator position from Anchorage to Juneau. Since that time, the division has processed fraud tips from the

public, working with other state agencies to exchange information related to eligibility and developing audits on different populations of dividend applicants.

- **Focus on Cross-Training** – Employees in most units were cross-trained to learn duties performed by other employees within their units. Additionally, some employees were cross-trained so they could work review cases. The cross-training efforts attributed to the division’s success in getting all review cases worked by the end of the dividend year. All cross-training provided technical growth and a base for promotion opportunities for employees throughout the division.



## **New Legislation**

The 2003 legislature passed two bills directly related to the dividend program. Governor Murkowski signed both bills into law in June 2003.

**SB 148 (Ch 69 SLA 2003) – Additional Absence for Military, Spouse and Dependents.** This bill expanded the allowable absence provisions for active duty military members and immediate family (spouse, minor dependent and disabled dependent). Previously, military members and immediate family could be absent from Alaska for 45 non-military days in addition to an active duty military absence. Under this bill military members and immediate family can be absent for 180 non-military days in addition to an active duty military absence.

The provisions of this bill were retroactive to January 1, 2002, the beginning of the qualifying year for the 2003 dividend. This bill also extended the 2003 application filing due date from March 31 to September 15, 2003 for military members and immediate family who were affected by this new legislation.

**HB 14 (Ch 116 SLA 2003) – Expansion of Terminal Illness Absence.** This bill expanded the allowable absence for persons who are out of state caring for a terminally ill family member. Previously, a person could be allowably absent to care for their terminally ill parent, spouse, sibling, child, or stepchild only. This bill expanded the absence to also allow care for grandparents, grandson, granddaughter, uncle, aunt, niece, nephew, first cousin and persons legally related through marriage or guardianship. The provisions of this bill became effective beginning with the 2004 dividend.

## 2003 Dividend Calculation

Calculated September 19, 2003

### Amount Available for Dividends

|   |                        |                                       |
|---|------------------------|---------------------------------------|
| <b>PFD Fund Beginning Balance as of July 1, 2003</b>      | <b>\$ 7,191,450.97</b> |                                       |
| Expenditures to date                                      | (130,213.58)           |                                       |
| Amount Collected from Assessments of Prior Year Dividends | 49,209.15              |                                       |
|   | <u>49,209.15</u>       |                                       |
| <b>PFD Fund Balance as of September 19, 2003</b>          |                        | <b>\$ 7,110,446.54</b>                |
| <b>Transfer from Permanent Fund Corporation</b>           |                        |                                       |
| Permanent Fund Earnings (5-year average)                  | 690,658,806.69         |                                       |
| Less Appropriations (see below)                           | (33,594,400.00)        |                                       |
|   | <u>(33,594,400.00)</u> |                                       |
| <b>Net Transfer from Permanent Fund Corporation</b>       |                        | <b>657,064,406.69</b>                 |
| <b>Reductions (see below)</b>                             |                        | <u><b>(953,757.95)</b></u>            |
| <b>Total Amount Available for Dividends</b>               |                        | <b><u><u>\$663,221,095.28</u></u></b> |

### Total estimated number of eligible applicants: 598,813

The Department of Revenue uses an estimate because some applications are in review or incomplete as of the dividend calculation date. A number of these applications will be determined as payable after review or when applicants submit information needed to determine their eligibility. Also, some application types have extended filing due dates until March 31, 2004, such as estate and disabled applicants.

|                             |                                |   |                   |
|-----------------------------|--------------------------------|---|-------------------|
| <b>Dividend Calculation</b> | <u><b>\$663,221,095.28</b></u> | = | <b>\$1,107.56</b> |
|                             | <b>598,813</b>                 |   |                   |

### Appropriations and Reductions Detail

#### Appropriations

|  |                   |                                    |
|--|-------------------|------------------------------------|
| Department of Health and Social Services (Hold Harmless) | \$15,405,500.00   |                                    |
| Department of Corrections                                | 6,895,400.00      |                                    |
| Department of Revenue Administrative Cost                | 5,444,100.00      |                                    |
| Department of Public Safety                              |                   |                                    |
| Council on Domestic Violence & Sexual Assault            | 4,008,800.00      |                                    |
| Batterers Intervention Program                           | 200,000.00        |                                    |
|  | <u>200,000.00</u> |                                    |
| Total Department of Public Safety                        | 4,208,800.00      |                                    |
| Department of Administration                             |                   |                                    |
| Violent Crimes Compensation Board                        | 1,178,400.00      |                                    |
| Alaska State Legislature - Office of Victims' Rights     | 462,200.00        |                                    |
|  | <u>462,200.00</u> |                                    |
| <b>Total Appropriations</b>                              |                   | <b><u><u>33,594,400.00</u></u></b> |

#### Reductions

|   |                   |                                 |
|---|-------------------|---------------------------------|
| Reserve for Prior-Year Dividend Liabilities     | 502,207.72        |                                 |
| Reserve for 18-Year-Old Filings for Prior Years | 451,550.23        |                                 |
|   | <u>451,550.23</u> |                                 |
| <b>Total Reductions</b>                         |                   | <b><u><u>953,757.95</u></u></b> |

|   |  |                                      |
|---|--|--------------------------------------|
| <b>Total Appropriations and Reductions Detail</b> |  | <b><u><u>\$34,548,157.95</u></u></b> |
|---|--|--------------------------------------|

## Five-Year History of Dividend Calculations

| Dividend Calculation                                      | 2003                    | 2002                    | 2001                      | 2000                      | 1999                      |
|---|-------------------------|-------------------------|---------------------------|---------------------------|---------------------------|
| Dividend Fund Balance as of Calculation Date <sup>1</sup> | \$ 7,110,446.54         | \$ 15,227,909.32        | \$ 13,411,836.21          | \$ 10,049,489.94          | \$ 3,445,249.83           |
| Add Permanent Fund Earnings                               | 690,658,806.69          | 925,805,851.87          | 1,112,601,116.07          | 1,172,450,762.05          | 1,044,976,249.77          |
| <b>Less Appropriations and Reductions</b>                 |                         |                         |                           |                           |                           |
| Appropriations (see below)                                | (33,594,400.00)         | (28,353,200.00)         | (30,301,000.00)           | (30,303,800.00)           | (28,862,653.00)           |
| Reductions (see below)                                    | (953,757.95)            | (1,263,307.56)          | (3,260,605.11)            | (1,611,247.23)            | (1,393,765.71)            |
| <b>Total Appropriations and Reductions</b>                | <b>(34,548,157.95)</b>  | <b>(29,616,507.56)</b>  | <b>(33,561,605.11)</b>    | <b>(31,915,047.23)</b>    | <b>(30,256,418.71)</b>    |
| <b>Total Amount Available for Dividends</b>               | <b>\$663,221,095.28</b> | <b>\$911,417,253.63</b> | <b>\$1,092,451,347.17</b> | <b>\$1,150,585,204.76</b> | <b>\$1,018,165,080.89</b> |
| Estimated Eligible Applicants                             | 598,813                 | 591,537                 | 590,423                   | 585,878                   | 575,285                   |
| <b>Dividend Amount<sup>2</sup></b>                        | <b>\$1,107.56</b>       | <b>\$1,540.76</b>       | <b>\$1,850.28</b>         | <b>\$1,963.86</b>         | <b>\$1,769.84</b>         |

<sup>1</sup> Dividend amounts are calculated in mid-September to coincide with the Alaska Permanent Fund Corporation's annual meeting.

<sup>2</sup> Dividend amounts are rounded to an even amount to allow even division when computing the 50% University of Alaska College Savings Plan check-off option as provided under AS 14.40.807.

## Appropriations and Reductions Detail

## Appropriations

|  |                        |                        |                        |                        |                        |
|--|------------------------|------------------------|------------------------|------------------------|------------------------|
| Department of Health and Social Services<br>(hold-harmless provision for public assistance recipients) | \$15,405,500.00        | \$13,007,900.00        | \$16,147,300.00        | \$17,518,100.00        | \$18,688,900.00        |
| Department of Corrections  | 6,895,400.00           | 4,257,900.00           | 3,615,100.00           | 2,929,000.00           | 2,146,753.00           |
| Department of Revenue Administrative Costs   | 5,444,100.00           | 5,244,700.00           | 5,099,200.00           | 4,979,700.00           | 4,793,000.00           |
| Department of Public Safety  |                        |                        |                        |                        |                        |
| Council on Domestic Violence & Sexual Assault  | 4,008,800.00           | 4,004,800.00           | 4,004,800.00           | 3,504,800.00           | 1,987,500.00           |
| Batterers Intervention Program   | 200,000.00             | 200,000.00             | 200,000.00             | 200,000.00             | 200,000.00             |
| <b>Total Department of Public Safety</b>   | <b>4,208,800.00</b>    | <b>4,204,800.00</b>    | <b>4,204,800.00</b>    | <b>3,704,800.00</b>    | <b>2,187,500.00</b>    |
| Department of Administration   |                        |                        |                        |                        |                        |
| Violent Crimes Compensation Board  | 1,178,400.00           | 1,175,700.00           | 1,170,700.00           | 1,172,200.00           | 1,046,500.00           |
| Alaska State Legislature - Office of Victims' Rights   | 462,200.00             | 462,200.00             | 63,900.00              | 0.00                   | 0.00                   |
| <b>Total Appropriations</b>  | <b>\$33,594,400.00</b> | <b>\$28,353,200.00</b> | <b>\$30,301,000.00</b> | <b>\$30,303,800.00</b> | <b>\$28,862,653.00</b> |

## Reductions

|   |                     |                       |                       |                       |                       |
|---|---------------------|-----------------------|-----------------------|-----------------------|-----------------------|
| Reserve for Prior-Year Dividend Liabilities | 502,207.72          | 1,024,643.51          | 3,165,616.65          | 1,536,247.23          | 1,143,765.71          |
| Reserve for 18-year-old Filers              | 451,550.23          | 238,664.05            | 94,988.46             | 75,000.00             | 250,000.00            |
| <b>Total Reductions</b>                     | <b>\$953,757.95</b> | <b>\$1,263,307.56</b> | <b>\$3,260,605.11</b> | <b>\$1,611,247.23</b> | <b>\$1,393,765.71</b> |

## Status of Application Activity

|                                  | 2003           |                | 2002           |                | 2001           |                |
|----------------------------------|----------------|----------------|----------------|----------------|----------------|----------------|
|                                  | Quantity       | % Total        | Quantity       | % Total        | Quantity       | % Total        |
| <b><u>Applicants</u></b>         |                |                |                |                |                |                |
| Adult                            | 435,164        | 70.28%         | 428,171        | 69.94%         | 423,307        | 69.57%         |
| Child                            | 184,056        | 29.72%         | 184,017        | 30.06%         | 185,151        | 30.43%         |
| <b>Total</b>                     | <b>619,220</b> | <b>100.00%</b> | <b>612,188</b> | <b>100.00%</b> | <b>608,458</b> | <b>100.00%</b> |
| <b><u>Application Status</u></b> |                |                |                |                |                |                |
| Eligible for Payment             | 594,618        | 96.03%         | 589,389        | 96.28%         | 586,227        | 96.35%         |
| Denied                           | 23,024         | 3.72%          | 22,529         | 3.68%          | 21,888         | 3.60%          |
| In Review                        | 211            | 0.03%          | 69             | 0.01%          | 19             | 0.00%          |
| In Appeal                        | 1,250          | 0.20%          | 34             | 0.01%          | 12             | 0.00%          |
| Incomplete Application           | 46             | 0.01%          | 5              | 0.00%          | 9              | 0.00%          |
| Assessed for Repayment           | 71             | 0.01%          | 162            | 0.03%          | 303            | 0.05%          |
| <b>Total</b>                     | <b>619,220</b> | <b>100.00%</b> | <b>612,188</b> | <b>100.00%</b> | <b>608,458</b> | <b>100.00%</b> |
| <b><u>Payment Status</u></b>     |                |                |                |                |                |                |
| Paid by Direct Deposit           |                |                |                |                |                |                |
| Full Dividend                    | 418,438        | 67.57%         | 410,385        | 67.03%         | 389,422        | 64.00%         |
| Partial Dividend (Garnisheed)    | 18,001         | 2.91%          | 14,091         | 2.29%          | 14,781         | 2.43%          |
| Paid by Warrant                  |                |                |                |                |                |                |
| Full Dividend                    | 124,567        | 20.12%         | 133,785        | 21.85%         | 147,459        | 24.23%         |
| Partial Dividend (Garnisheed)    | 12,926         | 2.09%          | 12,747         | 2.08%          | 16,277         | 2.68%          |
| Fully Garnisheed                 | 20,569         | 3.32%          | 18,468         | 3.02%          | 18,429         | 3.03%          |
| Not Paid                         | 24,719         | 3.99%          | 22,712         | 3.71%          | 22,090         | 3.63%          |
| <b>Total</b>                     | <b>619,220</b> | <b>100.00%</b> | <b>612,188</b> | <b>100.00%</b> | <b>608,458</b> | <b>100.00%</b> |

**Note** : Some applications in appeal and review status were not complete as of the print date of this report. They will be processed and determined as acceptable for payment or denied in early 2004.

Applications in review and appeal status for prior years result from applications received by 18-year-olds who are filing for a dividend they did not receive as a child but were otherwise eligible to receive the dividend.

Number of applicants who have received all 22 dividends  
since program inception (1982): **126,780**

Total amount of all 22 dividends: **\$23,009.85**

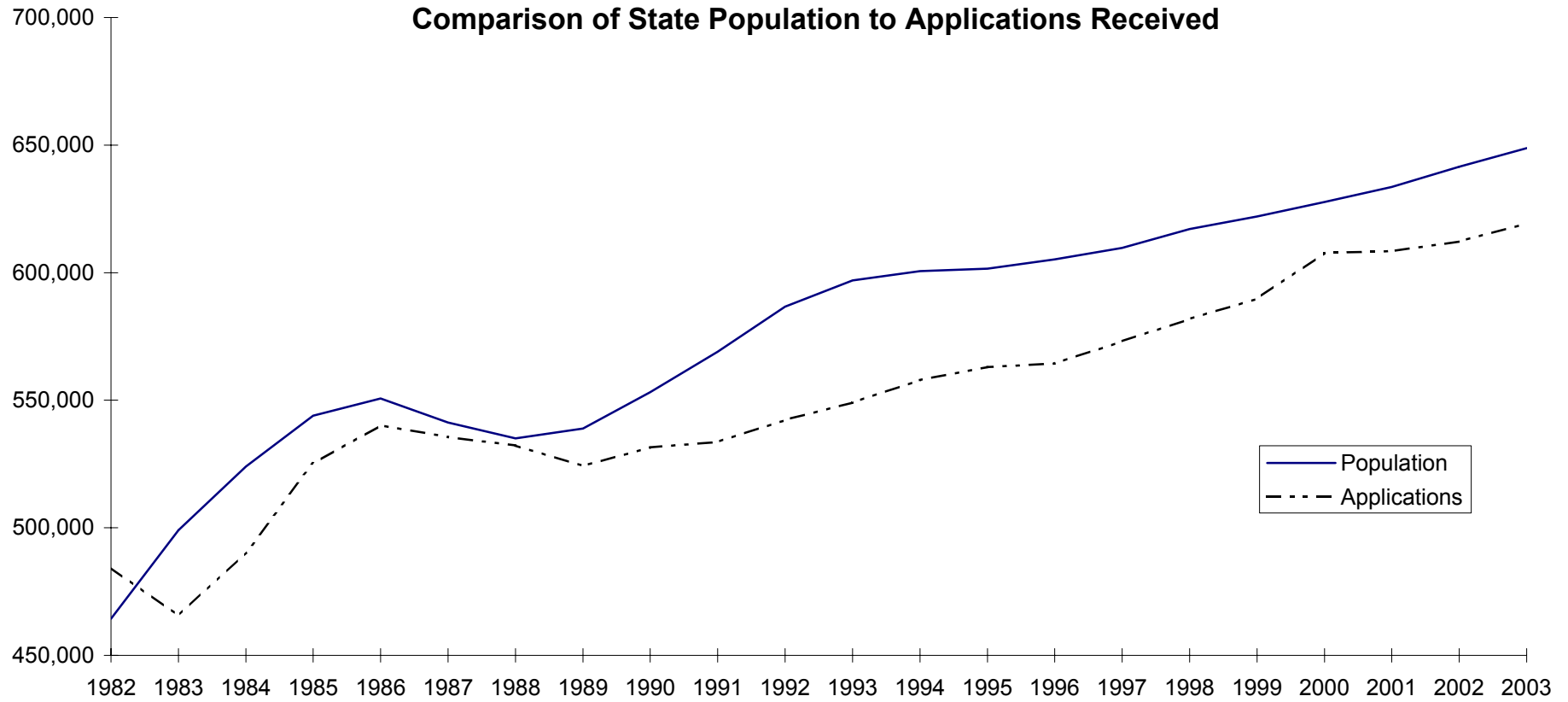
## Historical Summary of Dividend Applications and Payments

| Dividend<br>Year | State<br>Population | Dividend Applications |                   | Dividend<br>Amount | Amount<br>Disbursed        |
|------------------|---------------------|-----------------------|-------------------|--------------------|----------------------------|
|                  |                     | Received              | Paid              |                    |                            |
| 2003             | 648,818             | 619,220               | 594,501           | \$1,107.56         | \$658,445,527.56           |
| 2002             | 641,482             | 612,188               | 589,476           | \$1,540.76         | \$908,241,041.76           |
| 2001             | 633,630             | 608,458               | 586,368           | \$1,850.28         | \$1,084,944,983.04         |
| 2000             | 627,697             | 607,784               | 583,238           | \$1,963.86         | \$1,145,397,778.68         |
| 1999             | 622,000             | 589,738               | 572,877           | \$1,769.84         | \$1,013,900,629.68         |
| 1998             | 617,082             | 581,803               | 565,256           | \$1,540.88         | \$870,991,665.28           |
| 1997             | 609,655             | 573,057               | 554,769           | \$1,296.54         | \$719,280,199.26           |
| 1996             | 605,212             | 564,362               | 546,045           | \$1,130.68         | \$617,402,160.60           |
| 1995             | 601,581             | 563,020               | 541,842           | \$990.30           | \$536,586,132.60           |
| 1994             | 600,622             | 557,836               | 534,599           | \$983.90           | \$525,991,956.10           |
| 1993             | 596,906             | 549,066               | 527,946           | \$949.46           | \$501,263,609.16           |
| 1992             | 586,722             | 542,263               | 522,636           | \$915.84           | \$478,650,954.24           |
| 1991             | 569,054             | 533,692               | 512,098           | \$931.34           | \$476,937,351.32           |
| 1990             | 553,171             | 531,494               | 497,608           | \$952.63           | \$474,036,309.04           |
| 1989             | 538,900             | 524,272               | 507,547           | \$873.16           | \$443,169,738.52           |
| 1988             | 535,000             | 532,227               | 518,150           | \$826.93           | \$428,473,779.50           |
| 1987             | 541,300             | 535,578               | 529,478           | \$708.19           | \$374,971,024.82           |
| 1986             | 550,700             | 540,202               | 532,294           | \$556.26           | \$296,093,860.44           |
| 1985             | 543,900             | 525,145               | 518,479           | \$404.00           | \$209,465,516.00           |
| 1984             | 524,000             | 490,413               | 481,349           | \$331.29           | \$159,466,110.21           |
| 1983             | 499,100             | 465,567               | 457,209           | \$386.15           | \$176,551,255.35           |
| 1982             | 464,300             | 484,344               | 469,741           | \$1,000.00         | \$469,741,000.00           |
| <b>Total</b>     |                     | <b>12,131,729</b>     | <b>11,743,506</b> | <b>\$23,009.85</b> | <b>\$12,570,002,583.16</b> |

*Source :*

Dividend statistics were obtained from the Permanent Fund Dividend Division's Application and Payment Status report as of January 1, 2004. Population statistics were obtained from the Alaska Department of Labor.

### Comparison of State Population to Applications Received



| Year                | 1982    | 1983    | 1984    | 1985    | 1986    | 1987    | 1988    | 1989    | 1990    | 1991    | 1992    | 1993    | 1994    | 1995    | 1996    | 1997    | 1998    | 1999    |
|---------------------|---------|---------|---------|---------|---------|---------|---------|---------|---------|---------|---------|---------|---------|---------|---------|---------|---------|---------|
| <b>Population</b>   | 464,300 | 499,100 | 524,000 | 543,900 | 550,700 | 541,300 | 535,000 | 538,900 | 553,171 | 569,054 | 586,722 | 596,906 | 600,622 | 601,581 | 605,212 | 609,655 | 617,082 | 622,000 |
| <b>Applications</b> | 484,344 | 465,567 | 490,413 | 525,145 | 540,202 | 535,578 | 532,227 | 524,272 | 531,494 | 533,692 | 542,263 | 549,066 | 557,836 | 563,020 | 564,362 | 573,057 | 581,803 | 589,738 |

| Year                | 2000    | 2001    | 2002    | 2003    |
|---------------------|---------|---------|---------|---------|
| <b>Population</b>   | 627,697 | 633,630 | 641,482 | 648,818 |
| <b>Applications</b> | 607,784 | 608,458 | 612,188 | 619,220 |

**Notes**

- 1) Population generally exceeds number of applications because applicants must be a resident for an entire year before they qualify for a dividend.
- 2) The number 1982 applications represents accumulated data from 1979 through 1982, the first year that dividends were paid. Dividends were not paid prior to 1982 because of litigation challenging residency requirements of the dividend statutes in effect at the time.

Population statistics were obtained from the Alaska Department of Labor .

### History of Dividend Amounts

| Year         | Dividend Amount                  | Increase (Decrease) |
|--------------|----------------------------------|---------------------|
| 1982         | \$1,000.00                       |                     |
| 1983         | 386.15                           |                     |
| 1984         | 331.29                           | -14.21%             |
| 1985         | 404.00                           | 21.95%              |
| 1986         | 556.26                           | 37.69%              |
| 1987         | 708.19                           | 27.31%              |
| 1988         | 826.93                           | 16.77%              |
| 1989         | 873.16                           | 5.59%               |
| 1990         | 952.63                           | 9.10%               |
| 1991         | 931.34                           | -2.23%              |
| 1992         | 915.84                           | -1.66%              |
| 1993         | 949.46                           | 3.67%               |
| 1994         | 983.90                           | 3.63%               |
| 1995         | 990.30                           | 0.65%               |
| 1996         | 1,130.68                         | 14.18%              |
| 1997         | 1,296.54                         | 14.67%              |
| 1998         | 1,540.88                         | 18.85%              |
| 1999         | 1,769.84                         | 14.86%              |
| 2000         | 1,963.86                         | 10.96%              |
| 2001         | 1,850.28                         | -5.78%              |
| 2002         | 1,540.76                         | -16.73%             |
| 2003         | <u>1,107.56</u>                  | -28.12%             |
| <b>Total</b> | <b><u><u>\$23,009.85</u></u></b> |                     |

Note: 1983 dividend is used as the base year because the 1982 dividend was for combined years 1980 through 1982.

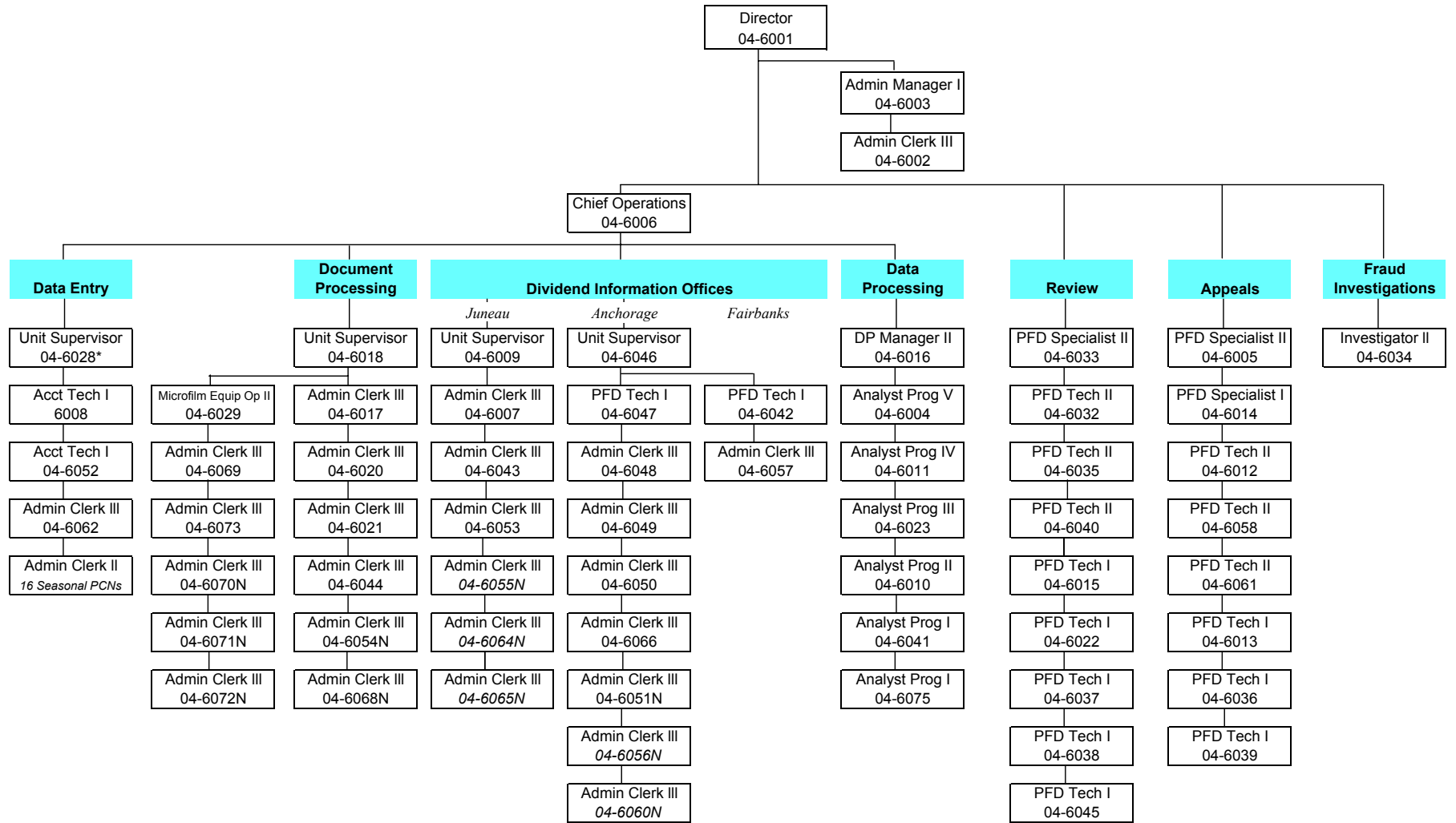
## **Organization**

The Permanent Fund Dividend (PFD) Division is organized as a division within the Alaska Department of Revenue and is headquartered in Juneau. The PFD Division has dividend information offices in Anchorage, Fairbanks and Juneau. This section includes information about the division's organization and describes functions performed by the different units within the division.



# Organization Chart

As of December 31, 2003



Permanent seasonal positions are designated with an 'N' following the PCN number

| Position Count | Permanent Full Time | Permanent Seasonal | Total     |
|----------------|---------------------|--------------------|-----------|
| Juneau         | 45                  | 24                 | 69        |
| Anchorage      | 6                   | 3                  | 9         |
| Fairbanks      | 2                   | 0                  | 2         |
| <b>Total</b>   | <b>53</b>           | <b>27</b>          | <b>80</b> |

## Key Contacts

### Director

Sharon L. Barton  
Juneau Office  
(907) 465-4785  
Sharon\_Barton@revenue.state.ak.us

**Home Page:** www.pfd.state.ak.us

**E-mail for questions or information:** dividend\_information@revenue.state.ak.us

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### Chief of Operations

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### Fraud Investigations

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### Document Processing & Image Control

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### Data Processing

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Juneau Office  
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### Data Entry, Collections and Accounting

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Juneau Office  
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### Anchorage Dividend Information Office

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### Review

Mary Graham, Supervisor  
Juneau Office  
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Mary\_Graham@revenue.state.ak.us

### Fairbanks Dividend Information Office

Angel B. Ambrose  
Fairbanks Office  
(907) 451-5096  
Angel\_Ambrose@revenue.state.ak.us

### Appeals

Wendy Hughes, Supervisor  
Juneau Office  
(907) 465-2038  
Wendy\_Hughes@revenue.state.ak.us

### Juneau Dividend Information Office

Amanda Luloff, Supervisor  
Juneau Office  
(907) 465-3615  
Amanda\_Luloff@revenue.state.ak.us

### **Anchorage Office**

Alaska Department of Revenue  
Permanent Fund Dividend Division  
616 E Street  
Anchorage, AK 99501-3528

### **Fairbanks Office**

Alaska Department of Revenue  
Permanent Fund Dividend Division  
1005 Cushman Street  
Fairbanks, AK 99701

### **Juneau Office**

Alaska Department of Revenue  
Permanent Fund Dividend Division  
11th Floor, State Office Building  
PO Box 110461  
Juneau, AK 99811-0461

**Tel (907) 269-0370**

Fax (907) 269-0384

TTY (Hearing Impaired) 279-0098

**Tel (907) 451-2820**

Fax (907) 451-5142

TTY (Hearing Impaired) 451-5095

**Tel (907) 465-2326**

Fax (907) 465-3470

TTY (Hearing Impaired) 465-2868

**Toll free number (800) 733-8813**

*Available year-round in Alaska*

**Dividend Fraud Hotline (907) 465-2654 or 269-0385**

## **Organization and Responsibilities**

During 2003, the Permanent Fund Dividend Division was staffed by 93 positions comprised of 59 full-time, 4 seasonal and 30 temporary positions (primarily employed for data entry). The division is headquartered in Juneau and has information offices in Anchorage and Fairbanks. The division's fiscal year 2004 operating budget is \$5.4 million. The division is organized into seven units: Document Processing and Image Control, Data Entry, Dividend Information Offices, Data Processing, Review, Appeals, and Fraud Investigations.

### **OPERATIONS**

Operations is responsible for receiving and processing dividend applications, processing dividend payments, and providing assistance to Alaskans who need help with their application or have questions regarding the dividend program.

Operations encompasses the following four units: *Document Processing and Image Control; Data Entry; Dividend Information Offices, and Data Processing.*

**Document Processing and Image Control** is responsible for (1) receiving, preparing and scanning dividend applications for data entry, (2) examining applications that are incomplete or flagged by the division's computer system as requiring further attention, and (3) examining and processing responses to division requests for additional information. All applications and documentation are scanned and saved as electronic images. Employees throughout the division have access to the images for all functions, from data entry to helping applicants with questions concerning their application.

Document Processing and Image Control processes thousands of documents other than applications. Throughout the year, applicants submit documentation requested by the division to complete their application for processing.

**Data Entry** is responsible for entering application data and garnishments into the computer system. The unit is also responsible for collecting dividends from persons who were deemed ineligible after having been paid a dividend.

The Data Entry Unit was allocated 30 temporary employees to data enter the dividend applications that were received during the 2003 filing season.

**Dividend Information Offices** are responsible for assisting applicants who need help with completing their application or responding to information requests by the division. Information offices are located in Anchorage, Fairbanks and Juneau.

Information office staff assist applicants in person, by telephone, letter or e-mail. Each year, information office employees assist thousands of walk-ins and answer thousands of telephone calls and emails from applicants seeking assistance or requesting application forms.

**Data Processing** is responsible for writing and maintaining programs related to the division's databases and providing the division with data processing support. Data Processing is also responsible for supporting the division's data entry system and for ensuring that computer programs are in place to flag applications that are incomplete or need further processing. Staff also maintain the division's web site and provide support for the PFD on-line application.

Data processing is responsible for preparing files for dividend payment each October. The unit works with financial institutions to coordinate direct deposits to applicant accounts. The unit also works with other state agencies to coordinate printing and mailing of dividend checks.

### **REVIEW**

Employees in this unit are responsible for reviewing applications that have been flagged by the computer system for having a potential problem in one or more of the following areas:

- Establishing residency
- Breaking Alaska residency
- Absences not allowed by law
- Intent to remain in Alaska

Employees work with applicants to gather information needed to determine their eligibility under the dividend program. Some cases are resolved without contacting the applicant if the applicant submits sufficient information with the application.

For each applicant deemed ineligible, staff issue a denial letter outlining the reasons for the denial and informing the applicant of his or her appeal rights.

### **APPEALS**

Employees assigned appeals cases are responsible for conducting informal conferences for applicants who disagree with their dividend denial. The employee reviews each appeal and develops facts and information relating to matters in dispute by the applicant. The employee issues written decisions to applicants upon conclusion of an informal conference, which are usually concluded by written correspondence. If the applicant disagrees with the informal conference decision, the applicant may request a formal hearing before a hearing examiner from the commissioner's office. A designated employee from Appeals represents the division at formal hearings.

### **FRAUD INVESTIGATIONS**

The Fraud Investigations Unit is responsible for following up on hundreds of fraud tips received from the public throughout the year, and conducting focused audits and criminal investigations. Applicants who willfully misrepresent, exercise gross negligence or recklessly disregard a material fact pertaining to eligibility forfeit the dividend they applied for and the next five dividends.

## Permanent Fund Dividend Division History

- 1976** Voters pass a constitutional amendment to establish the Permanent Fund (Alaska Constitution, Article IX, Section 15).
- 1980** First Permanent Fund dividend legislation enacted. The legislation established a program giving every adult Alaska resident \$50 for every year of residency since statehood in 1959 and also established a Dividend Fund. Payment of dividends were stayed under litigation (Zobel v Williams) challenging the constitutionality of the program.
- 1982** U.S Supreme Court ruled the 1980 legislation unconstitutional. In response to the litigation, the legislature passed legislation authorizing equal dividend payments to all six-month residents. The first dividend amount was \$1,000. The first dividend checks were distributed June 14, 1982.
- 1983** Residency period defined as 6 months ending March 31. Filing due date established as June 30.
- 1985** Hold-harmless program funding for public assistance recipients changed from General Fund to Permanent Fund Dividend Fund.
- 1988** *January 1988* - Permanent Fund Dividend Division established. Positions with PFD responsibilities in Administrative Services (20), Enforcement (23), and Public Services (14) transferred to the new division. Enforcement and Public Services divisions eliminated.  
**57 full-time positions after transfer.**  
**32 non-permanent positions.**
- 1989** Legislature changed residency period from 6 months to 24 months. Individuals banned from assigning dividends (except to government agencies) by regulation. Felons not eligible for dividends. Physical presence requirement established.  
**57 full-time positions.**  
**54 non-permanent positions.**
- 1990** Superior Court ruled 24-month residency requirement unconstitutional and changed the residency requirement to 12 months (Lindly v Malone). Disclosure requirements established to print program costs on dividend checks.  
**59 full-time positions.**  
**56 non-permanent positions.**
- 1991** Check-off program for Advance College Tuition started. Percent of dividend subject to levy for private judgments increased from 50% to 55%. Fairbanks office closed in September 1991 (2 positions). One position each transferred to Anchorage and Juneau office. First receipt cards mailed.  
**58 full-time positions.**  
**54 non-permanent positions.**
- 1992** Individuals banned from assigning dividends (except to government agencies) by statute. Review Unit moved from Anchorage to Juneau.  
**58 full-time positions.**  
**58 non-permanent positions.**
- 1993** Direct-deposit program for full dividends established. Residency period changed to calendar year. Voter registration forms included with dividend application.  
**62 full-time positions.**  
**62 non-permanent positions.**
- 1994** Filing due date changed to March 31. Longevity Bonus Annuity check-off program began. Legislature authorizes the division to charge fee for processing claims and assignments on dividends  
**61 full-time positions.**  
**58 non-permanent positions.**
- 1995** Longevity Bonus Annuity check-off program repealed.  
**61 full-time positions.**  
**63 non-permanent positions.**
- 1996** Misdemeanants with two prior crimes not eligible for dividends.  
**59 full-time positions.**  
**62 non-permanent positions.**

## Permanent Fund Dividend Division History

- 1997** Fairbanks office re-opened February 1997 (2 positions).  
**59 full-time positions.**  
**62 non-permanent positions.**
- 1998** Internet program implemented to allow applicants to check their status online. All allowable absences defined in statutes (statutory provision for commissioner to define absences by regulation repealed). Spouses accompanying eligible residents authorized as allowable absence. Authority to file for estates (persons who die during filing period) established. Physical presence changed to 72 hours every two years (effective for 2000 dividend). Percent of dividend subject to levy for private judgment increased from 55% to 80%.  
**60 full-time positions.**  
**62 non-permanent positions.**
- 1999** On-line application implemented, but applicants required to print and sign full application. Direct-deposit program expanded to include partial dividends. Document Processing Unit night shift (17 positions) eliminated. Emergency regulations detailing alien eligibility adopted.  
**57 full-time positions.**  
**43 non-permanent positions.**
- 2000** Imaging system and new data entry system implemented January 2000. First year that applications imaged. Improved on-line Internet application implemented March 2000 (applicants required to sign signature page only). Fairbanks office moved to Cushman Street location September 1.  
**57 full-time positions.**  
**43 non-permanent positions.**
- 2001** Review and Appeals units consolidated into the Dividend Enforcement, Review and Appeals Unit. Alaska Supreme Court rules that immigrant aliens who form intent to remain in Alaska qualify for dividends.  
**63 full-time positions.**  
**30 non-permanent positions.**
- 2002** Receipt letter replaces application receipt card. Department of Health and Social Services files applications electronically. Final year securities companies participated in the direct-deposit program. Misdemeanants with one prior felony or two prior misdemeanors not eligible for dividend.  
**63 full-time positions.**  
**30 non-permanent positions.**
- 2003** Electronic signature for on-line applications implemented March 20, 2003. All review cases worked by year-end. Fraud Investigations moved from Anchorage to Juneau.  
**63 full-time positions.**  
**30 non-permanent positions.**

## **Dividend Application Information**

The dividend application filing season begins on January 2. Within a couple of days, thousands of applications pour into the division by mail, hand delivery and on-line. Within the first week of the application period, the division typically receives around 90,000 applications. The weeks that follow are hectic and busy as the division processes the thousands of applications that are received throughout the season. After the end of the 2003 filing season, the division received 619,220 applications.

In order to complete the tasks that come with the application season, the division hires seasonal employees to key information from paper applications. These employees start during the first part of January and continue their work through mid-May, when the last of the applications are data captured and processed.

This section includes data and information relating to applications received during the filing season and the remainder of the year (mostly late applications). Applicant demographics included in this section are compiled from 2003 PFD application data.

## 2003 Dividend Application Detail

| <b>Adult Applications</b>                      |         |                |
|--|---------|----------------|
| Adult  | 433,775 |                |
| Office of Public Advocacy                      | 757     |                |
| Application on Behalf of Another Adult         | 430     |                |
| Estate of Adult who Died During Filing Season  | 92      |                |
| Disabled Individual                            | 69      |                |
| 18-Year-Old Filers <sup>1</sup>                | 41      |                |
| <b>Total Adult Applications</b>                |         | <b>435,164</b> |
| <b>Child Applications</b>                      |         |                |
| Child  | 181,824 |                |
| Child in Custody of Health and Social Services | 2,230   |                |
| Estate of Child who Died During Filing Season  | 2       |                |
| <b>Total Child Applications</b>                |         | <b>184,056</b> |
| <b>Total Applications</b>                      |         | <b>619,220</b> |

### Other Facts:

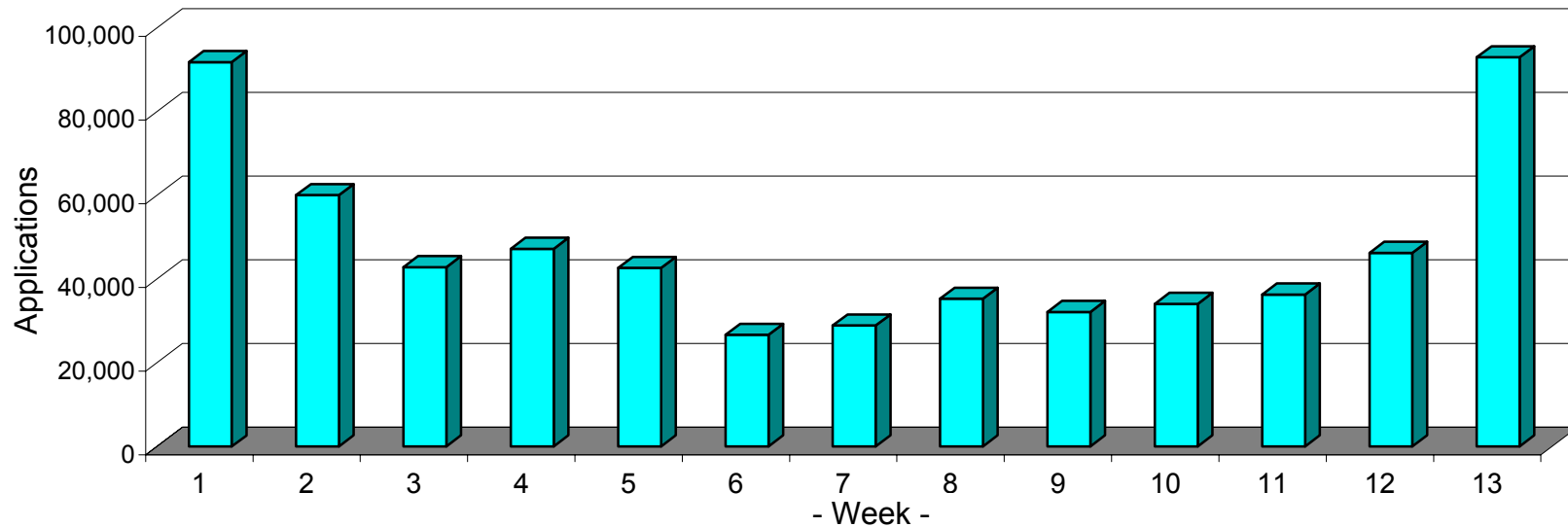
- ❖ On-line applications filed: **155,226**
- ❖ On-line Application with Electronic Signature: **2,151**
- ❖ First-time Filers: **32,563**
- ❖ Alaska Newborns (Born in 2002 qualifying year): **10,051**
- ❖ Late Applications Denied: **1,687**
- ❖ Sponsor Eligibility Forms<sup>2</sup> Filed: **162**

<sup>1</sup> Under regulation 15 AAC 23.133, 18-year-olds may apply for a prior year dividend that was not issued to them as a child. The 18-year-old must meet all eligibility requirements for the respective prior year and must file the application prior to his or her 19th birthday.

<sup>2</sup> Sponsor Eligibility Forms are used by adults who are sponsoring a child but do not apply for a dividend themselves.



### Application Filing Activity 2003 Dividend



| Week                      | Week Ending     | Applications   |                |                | % of Total     |
|---------------------------|-----------------|----------------|----------------|----------------|----------------|
|                           |                 | Paper          | On-line        | Total          |                |
| 1                         | January 8, 2003 | 54,455         | 37,424         | 91,879         | 14.84%         |
| 2                         | January 15      | 44,579         | 15,484         | 60,063         | 9.70%          |
| 3                         | January 22      | 31,910         | 10,959         | 42,869         | 6.92%          |
| 4                         | January 29      | 38,151         | 9,072          | 47,223         | 7.63%          |
| 5                         | February 5      | 33,911         | 8,759          | 42,670         | 6.89%          |
| 6                         | February 12     | 18,414         | 8,261          | 26,675         | 4.31%          |
| 7                         | February 19     | 21,490         | 7,418          | 28,908         | 4.67%          |
| 8                         | February 26     | 27,565         | 7,745          | 35,310         | 5.70%          |
| 9                         | March 5         | 23,992         | 8,143          | 32,135         | 5.19%          |
| 10                        | March 12        | 25,429         | 8,626          | 34,055         | 5.50%          |
| 11                        | March 19        | 26,274         | 10,033         | 36,307         | 5.86%          |
| 12                        | March 26        | 35,719         | 10,546         | 46,265         | 7.47%          |
| 13                        | March 31        | 80,256         | 12,756         | 93,012         | 15.02%         |
| April 1 - December 31     |                 | 1,849          | 0              | 1,849          | 0.30%          |
| <b>Total Applications</b> |                 | <b>463,994</b> | <b>155,226</b> | <b>619,220</b> | <b>100.00%</b> |

Top 3 days applications received:  
 March 31 (Monday): **71,087**  
 January 13 (Monday): **22,545**  
 January 8 (Wednesday): **21,904**

Number of application booklets printed: **334,300**

Printing cost of booklets: **\$106,072**  
 (31.7¢ per booklet)

Mailing cost of booklets: **\$59,200**  
 (16.4¢ per booklet bulk mail)  
 (\$1.29 per booklet first class mail)

### How Applicants Answered Application Questions

|   | Number Answered |         |              | % Total |        |              |
|---|-----------------|---------|--------------|---------|--------|--------------|
|   | Yes             | No      | Not Answered | Yes     | No     | Not Answered |
| <b>Adult Applications (435,164 applications)</b>                      |                 |         |              |         |        |              |
| Did you receive a 2002 dividend?                                      | 399,244         | 35,920  | 0            | 91.75%  | 8.25%  | 0.00%        |
| Are you in Alaska today?  | 417,366         | 17,678  | 120          | 95.91%  | 4.06%  | 0.03%        |
| During 2002, were you absent for more than 90 days?                   | 27,391          | 407,692 | 81           | 6.29%   | 93.69% | 0.02%        |
| During 2002, were you absent for more than 180 days?                  | 15,412          | 419,665 | 87           | 3.54%   | 96.44% | 0.02%        |
| Are you a United States citizen?                                      | 422,733         | 12,410  | 21           | 97.14%  | 2.85%  | 0.00%        |
| During 2002, were you on active duty in the U.S. Armed Forces?        | 13,477          | 421,491 | 196          | 3.10%   | 96.86% | 0.05%        |
| Do you want 50% of your dividend into the UA College Savings Plan?    | 1,250           | 433,914 | 0            | 0.29%   | 99.71% | 0.00%        |
| Do you want direct deposit into an Alaska bank account?               | 334,650         | 100,514 | 0            | 76.90%  | 23.10% | 0.00%        |
| <b>Child Applications (184,056 applications)</b>                      |                 |         |              |         |        |              |
| Are you the child's natural or adoptive parent?                       | 183,694         | 318     | 44           | 99.80%  | 0.17%  | 0.02%        |
| Did this child live with you for a majority of 2002?                  | 182,732         | 1,257   | 67           | 99.28%  | 0.68%  | 0.04%        |
| Did the child receive a 2002 dividend?                                | 161,918         | 22,138  | 0            | 87.97%  | 12.03% | 0.00%        |
| During 2002, was the child absent for more than 90 days?              | 8,813           | 175,189 | 54           | 4.79%   | 95.18% | 0.03%        |
| During 2002, was the child absent for more than 180 days?             | 6,186           | 177,812 | 58           | 3.36%   | 96.61% | 0.03%        |
| Is the child a United States citizen?                                 | 182,368         | 1,681   | 7            | 99.08%  | 0.91%  | 0.00%        |
| Do you want 50% of child's dividend into the UA College Savings Plan? | 4,907           | 179,149 | 0            | 2.67%   | 97.33% | 0.00%        |
| Do you want child's dividend directly deposited?                      | 143,032         | 41,024  | 0            | 77.71%  | 22.29% | 0.00%        |

**Why Applicants Were Absent From the State**  
**For Absences During 2002 (The Qualifying Year for 2003 Dividend)**

*Dividend application forms ask applicants if they were absent from the state for more than 90 days and 180 days. Reasons why applicants were absent from the state are listed below.*

| Absence reason   | Between 91 and 180 days |       |       | More than 180 days |       |       |
|--|-------------------------|-------|-------|--------------------|-------|-------|
|  | Adult                   | Child | Total | Adult              | Child | Total |
| ❖ Accompanied an Alaska resident who was eligible for a PFD            | 841                     | 1,508 | 2,349 | 2,521              | 5,077 | 7,598 |
| ❖ Enrolled as a full-time student (Postsecondary Education)            | 3,409                   | 31    | 3,440 | 5,351              | 14    | 5,365 |
| ❖ Served on active duty in the U.S. Armed Forces                       | 1,389                   | N/A   | 1,389 | 4,792              | N/A   | 4,792 |
| ❖ Enrolled as a full-time student (Grades 7 - 12)                      | 181                     | 266   | 447   | 171                | 195   | 366   |
| ❖ Received continuous medical treatment                                | 229                     | 86    | 315   | 170                | 133   | 303   |
| ❖ Cared for a terminally ill family member                             | 178                     | 14    | 192   | 93                 | 6     | 99    |
| ❖ Cared for a direct relative with a critical life-threatening illness | 125                     | 10    | 135   | 48                 | 6     | 54    |
| ❖ Part of a legal custody agreement                                    | N/A                     | 80    | 80    | N/A                | 52    | 52    |
| ❖ Served as a member of Alaska's congressional delegation or staff     | 4                       | N/A   | 4     | 29                 | N/A   | 29    |
| ❖ Settled the estate of a deceased direct relative                     | 81                      | N/A   | 81    | 18                 | N/A   | 18    |
| ❖ Employed aboard a vessel of the U.S. Merchant Marine                 | 27                      | N/A   | 27    | 12                 | N/A   | 12    |
| ❖ Employed by the State of Alaska                                      | 19                      | N/A   | 19    | 10                 | N/A   | 10    |
| Other reasons  | 1,071                   | 319   | 1,390 | 558                | 317   | 875   |
| Vacation   | 4,218                   | 425   | 4,643 | 357                | 89    | 446   |
| Sought employment out of the state                                     | 708                     | N/A   | 708   | 264                | N/A   | 264   |

❖ Allowable absence for determining divided eligibility.

N/A - Not applicable to application type.

Note: Applicants may claim more than one type of absence on their application.

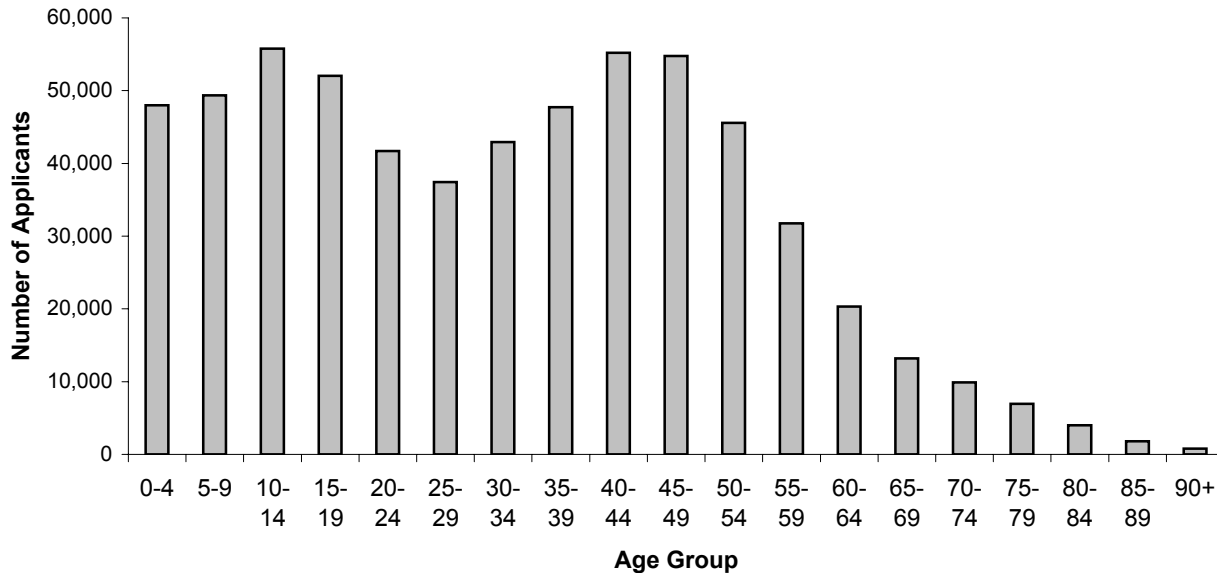
### Applicant Birth State Data

| <b>State</b>         | <b>Applicants</b> | <b>% Total</b> | <b>State</b>                | <b>Applicants</b> | <b>% Total</b> |
|----------------------|-------------------|----------------|-----------------------------|-------------------|----------------|
| Alabama              | 2,831             | 0.46%          | Nebraska                    | 3,449             | 0.56%          |
| <b>Alaska</b>        | <b>250,990</b>    | <b>40.53%</b>  | Nevada                      | 1,972             | 0.32%          |
| Arizona              | 5,238             | 0.85%          | New Hampshire               | 1,310             | 0.21%          |
| Arkansas             | 3,238             | 0.52%          | New Jersey                  | 3,954             | 0.64%          |
| California           | 42,917            | 6.93%          | New Mexico                  | 3,396             | 0.55%          |
| Colorado             | 8,951             | 1.45%          | New York                    | 12,419            | 2.01%          |
| Connecticut          | 2,038             | 0.33%          | North Carolina              | 4,315             | 0.70%          |
| Delaware             | 496               | 0.08%          | North Dakota                | 3,576             | 0.58%          |
| District of Columbia | 1,202             | 0.19%          | Ohio                        | 8,410             | 1.36%          |
| Florida              | 6,866             | 1.11%          | Oklahoma                    | 5,388             | 0.87%          |
| Georgia              | 4,281             | 0.69%          | Oregon                      | 18,637            | 3.01%          |
| Guam/Virgin Islands  | 299               | 0.05%          | Pennsylvania                | 8,857             | 1.43%          |
| Hawaii               | 3,668             | 0.59%          | Puerto Rico                 | 549               | 0.09%          |
| Idaho                | 7,266             | 1.17%          | Rhode Island                | 758               | 0.12%          |
| Illinois             | 9,517             | 1.54%          | South Carolina              | 2,116             | 0.34%          |
| Indiana              | 4,217             | 0.68%          | South Dakota                | 3,246             | 0.52%          |
| Iowa                 | 4,758             | 0.77%          | Tennessee                   | 2,721             | 0.44%          |
| Kansas               | 4,227             | 0.68%          | Texas                       | 15,529            | 2.51%          |
| Kentucky             | 2,284             | 0.37%          | Utah                        | 4,892             | 0.79%          |
| Louisiana            | 4,077             | 0.66%          | Vermont                     | 882               | 0.14%          |
| Maine                | 2,204             | 0.36%          | Virginia                    | 3,909             | 0.63%          |
| Maryland             | 2,531             | 0.41%          | Washington                  | 32,816            | 5.30%          |
| Massachusetts        | 4,929             | 0.80%          | West Virginia               | 1,478             | 0.24%          |
| Michigan             | 12,017            | 1.94%          | Wisconsin                   | 8,080             | 1.30%          |
| Minnesota            | 12,686            | 2.05%          | Wyoming                     | 2,754             | 0.44%          |
| Mississippi          | 2,156             | 0.35%          | <b>Total United States</b>  | <b>574,416</b>    | <b>92.80%</b>  |
| Missouri             | 5,116             | 0.83%          | Foreign Countries           | 44,804            | 7.20%          |
| Montana              | 8,003             | 1.29%          | <b>Total All Applicants</b> | <b>619,220</b>    | <b>100.00%</b> |

**Top Ten Birth States**

|               |                |               |                      |                |               |
|---------------|----------------|---------------|----------------------|----------------|---------------|
| <b>Alaska</b> | <b>250,990</b> | <b>40.53%</b> | Minnesota            | 12,686         | 2.05%         |
| California    | 42,917         | 6.93%         | New York             | 12,419         | 2.01%         |
| Washington    | 32,816         | 5.30%         | Michigan             | 12,017         | 1.94%         |
| Oregon        | 18,637         | 3.01%         | Illinois             | 9,517          | 1.54%         |
| Texas         | 15,529         | 2.51%         | Colorado             | 8,951          | 1.45%         |
|               |                |               | <b>Total Top Ten</b> | <b>416,479</b> | <b>67.27%</b> |

### Applicant Demographics 2003 Dividend



| Age          | Applicants     | Gender       | Applicants     | Percent        |
|--------------|----------------|--------------|----------------|----------------|
| 0-4          | 47,994         | Male         | 317,162        | 51.22%         |
| 5-9          | 49,362         | Female       | 302,058        | 48.78%         |
| 10-14        | 55,796         | <b>Total</b> | <b>619,220</b> | <b>100.00%</b> |
| 15-19        | 52,038         |              |                |                |
| 20-24        | 41,706         |              |                |                |
| 25-29        | 37,435         |              |                |                |
| 30-34        | 42,925         |              |                |                |
| 35-39        | 47,731         |              |                |                |
| 40-44        | 55,221         |              |                |                |
| 45-49        | 54,778         |              |                |                |
| 50-54        | 45,565         |              |                |                |
| 55-59        | 31,741         |              |                |                |
| 60-64        | 20,308         |              |                |                |
| 65-69        | 13,193         |              |                |                |
| 70-74        | 9,890          |              |                |                |
| 75-79        | 6,935          |              |                |                |
| 80-84        | 4,021          |              |                |                |
| 85-89        | 1,796          |              |                |                |
| 90-99        | 768            |              |                |                |
| 100+         | 17             |              |                |                |
| <b>Total</b> | <b>619,220</b> |              |                |                |

Adult applicants: **435,164**  
 Child applicants (< 18 years old): **184,056**

Median Age: **32 years old**

Oldest applicant on file: **103 years old**

**Most Common Names on File**

**Male**  
 Michael  
 John  
 James  
 Robert  
 David

**Female**  
 Mary  
 Jennifer  
 Linda  
 Elizabeth  
 Patricia

**Last Name**  
 Smith  
 Johnson  
 Williams  
 Brown  
 Jones

Age demographics are based on applicants' age at the end of the qualifying year (2002) for the 2003 dividend.

### Applicant Mailing Address by City

| Zip Code                                  | Municipality             | Application Type |               | Total          | % of Total Applications |
|---|--------------------------|------------------|---------------|----------------|-------------------------|
|   |                          | Adult            | Child         |                |                         |
| <b>Anchorage</b>                          |                          |                  |               |                |                         |
| 99501                                     | Anchorage                | 8,972            | 2,243         | 11,215         | 1.81%                   |
| 99502                                     | Anchorage                | 13,573           | 5,431         | 19,004         | 3.07%                   |
| 99503                                     | Anchorage                | 9,129            | 2,675         | 11,804         | 1.91%                   |
| 99504                                     | Anchorage                | 22,440           | 9,396         | 31,836         | 5.14%                   |
| 99505                                     | Fort Richardson          | 1,245            | 1,061         | 2,306          | 0.37%                   |
| 99506                                     | Elmendorf Air Force Base | 1,941            | 1,692         | 3,633          | 0.59%                   |
| 99507                                     | Anchorage                | 20,192           | 8,540         | 28,732         | 4.64%                   |
| 99508                                     | Anchorage                | 19,087           | 7,932         | 27,019         | 4.36%                   |
| 99509                                     | Anchorage                | 2,617            | 653           | 3,270          | 0.53%                   |
| 99510                                     | Anchorage                | 1,822            | 362           | 2,184          | 0.35%                   |
| 99511                                     | Anchorage                | 2,825            | 752           | 3,577          | 0.58%                   |
| 99513                                     | Anchorage                | 5                |               | 5              | 0.00%                   |
| 99514                                     | Anchorage                | 2,218            | 1,087         | 3,305          | 0.53%                   |
| 99515                                     | Anchorage                | 12,655           | 5,467         | 18,122         | 2.93%                   |
| 99516                                     | Anchorage                | 11,259           | 4,702         | 15,961         | 2.58%                   |
| 99517                                     | Anchorage                | 10,173           | 3,244         | 13,417         | 2.17%                   |
| 99518                                     | Anchorage                | 6,046            | 1,993         | 8,039          | 1.30%                   |
| 99519                                     | Anchorage                | 665              | 176           | 841            | 0.14%                   |
| 99520                                     | Anchorage                | 1,850            | 772           | 2,622          | 0.42%                   |
| 99521                                     | Anchorage                | 1,602            | 621           | 2,223          | 0.36%                   |
| 99522                                     | Anchorage                | 1,610            | 528           | 2,138          | 0.35%                   |
| 99523                                     | Anchorage                | 2,213            | 792           | 3,005          | 0.49%                   |
| 99524                                     | Anchorage                | 2,458            | 622           | 3,080          | 0.50%                   |
| <b>Total Anchorage</b>                    |                          | <b>156,597</b>   | <b>60,741</b> | <b>217,338</b> | <b>35.10%</b>           |
| <b>Fairbanks North Star Borough</b>       |                          |                  |               |                |                         |
| 99701                                     | Fairbanks                | 9,452            | 3,499         | 12,951         | 2.09%                   |
| 99702                                     | Eielson Air Force Base   | 1,811            | 1,483         | 3,294          | 0.53%                   |
| 99703                                     | Fort Wainwright          | 1,838            | 1,498         | 3,336          | 0.54%                   |
| 99704                                     | Clear                    | 88               | 22            | 110            | 0.02%                   |
| 99705                                     | North Pole               | 9,729            | 4,238         | 13,967         | 2.26%                   |
| 99706                                     | Fairbanks                | 1,265            | 365           | 1,630          | 0.26%                   |
| 99707                                     | Fairbanks                | 4,516            | 1,416         | 5,932          | 0.96%                   |
| 99708                                     | Fairbanks                | 5,505            | 1,719         | 7,224          | 1.17%                   |
| 99709                                     | Fairbanks                | 10,760           | 3,972         | 14,732         | 2.38%                   |
| 99710                                     | Fairbanks                | 1,083            | 370           | 1,453          | 0.23%                   |
| 99711                                     | Fairbanks                | 1,071            | 378           | 1,449          | 0.23%                   |
| 99712                                     | Fairbanks                | 4,749            | 1,889         | 6,638          | 1.07%                   |
| 99714                                     | Salcha                   | 629              | 225           | 854            | 0.14%                   |
| 99716                                     | Two Rivers               | 294              | 100           | 394            | 0.06%                   |
| 99775                                     | Fairbanks                | 635              | 128           | 763            | 0.12%                   |
| <b>Total Fairbanks North Star Borough</b> |                          | <b>53,425</b>    | <b>21,302</b> | <b>74,727</b>  | <b>12.07%</b>           |
| <b>Juneau</b>                             |                          |                  |               |                |                         |
| 99801                                     | Juneau                   | 13,385           | 5,179         | 18,564         | 3.00%                   |
| 99802                                     | Juneau                   | 2,495            | 620           | 3,115          | 0.50%                   |
| 99803                                     | Juneau                   | 3,221            | 1,107         | 4,328          | 0.70%                   |
| 99821                                     | Juneau (Auke Bay)        | 1,140            | 259           | 1,399          | 0.23%                   |
| 99824                                     | Juneau (Douglas)         | 1,504            | 461           | 1,965          | 0.32%                   |
| <b>Total Juneau</b>                       |                          | <b>21,745</b>    | <b>7,626</b>  | <b>29,371</b>  | <b>4.74%</b>            |

### Applicant Mailing Address by City

| Zip Code                    | Municipality                  | Application Type |       | Total | % of Total Applications |
|-----------------------------|-------------------------------|------------------|-------|-------|-------------------------|
|                             |                               | Adult            | Child |       |                         |
| <b>Other Municipalities</b> |                               |                  |       |       |                         |
| 99546                       | Adak                          | 55               | 16    | 71    | 0.01%                   |
| 99615                       | Akhiok                        | 35               | 0     | 35    | 0.01%                   |
| 99551                       | Akiachak                      | 349              | 271   | 620   | 0.10%                   |
| 99552                       | Akiak                         | 204              | 143   | 347   | 0.06%                   |
| 99553                       | Akutan                        | 113              | 21    | 134   | 0.02%                   |
| 99554                       | Alakanuk                      | 346              | 324   | 670   | 0.11%                   |
| 99555                       | Aleknagik                     | 87               | 45    | 132   | 0.02%                   |
| 99695                       | Alexander Creek               | 49               | 12    | 61    | 0.01%                   |
| 99720                       | Allakaket                     | 125              | 66    | 191   | 0.03%                   |
| 99786                       | Ambler                        | 174              | 108   | 282   | 0.05%                   |
| 99721                       | Anaktuvuk Pass                | 201              | 119   | 320   | 0.05%                   |
| 99556                       | Anchor Point/Nikolaevsk       | 1,539            | 583   | 2,122 | 0.34%                   |
| 99744                       | Anderson                      | 202              | 75    | 277   | 0.04%                   |
| 99820                       | Angoon                        | 348              | 144   | 492   | 0.08%                   |
| 99557                       | Aniak/Chuathbaluk/Stony River | 479              | 253   | 732   | 0.12%                   |
| 99558                       | Anvik                         | 62               | 48    | 110   | 0.02%                   |
| 99722                       | Arctic Village                | 101              | 58    | 159   | 0.03%                   |
| 99547                       | Atka                          | 58               | 22    | 80    | 0.01%                   |
| 99791                       | Atqasuk                       | 137              | 104   | 241   | 0.04%                   |
| 99723                       | Barrow                        | 2,604            | 1,465 | 4,069 | 0.66%                   |
| 99724                       | Beaver                        | 48               | 22    | 70    | 0.01%                   |
| 99559                       | Bethel/Napaskiak              | 4,194            | 2,582 | 6,776 | 1.09%                   |
| 99726                       | Bettles Field                 | 35               | 7     | 42    | 0.01%                   |
| 99652                       | Big Lake                      | 2,098            | 830   | 2,928 | 0.47%                   |
| 99785                       | Brevig Mission                | 154              | 142   | 296   | 0.05%                   |
| 99727                       | Buckland                      | 204              | 207   | 411   | 0.07%                   |
| 99729                       | Cantwell                      | 206              | 46    | 252   | 0.04%                   |
| 99730                       | Central                       | 108              | 19    | 127   | 0.02%                   |
| 99788                       | Chalkyitsik                   | 63               | 26    | 89    | 0.01%                   |
| 99561                       | Chefornak                     | 217              | 219   | 436   | 0.07%                   |
| 99574                       | Chenega Bay                   | 42               | 0     | 42    | 0.01%                   |
| 99563                       | Chevak                        | 432              | 441   | 873   | 0.14%                   |
| 99732                       | Chicken                       | 15               | 5     | 20    | 0.00%                   |
| 99564                       | Chignik                       | 69               | 17    | 86    | 0.01%                   |
| 99565                       | Chignik Lagoon                | 67               | 27    | 94    | 0.02%                   |
| 99548                       | Chignik Lake                  | 72               | 40    | 112   | 0.02%                   |
| 99615                       | Chiniak                       | 80               | 0     | 80    | 0.01%                   |
| 99566                       | Chitina                       | 122              | 53    | 175   | 0.03%                   |
| 99567                       | Chugiak                       | 5,687            | 2,203 | 7,890 | 1.27%                   |
| 99733                       | Circle                        | 71               | 30    | 101   | 0.02%                   |
| 99568                       | Clam Gulch                    | 238              | 73    | 311   | 0.05%                   |
| 99569                       | Clarks Point                  | 46               | 24    | 70    | 0.01%                   |
| 99918                       | Coffman Cove                  | 126              | 44    | 170   | 0.03%                   |
| 99571                       | Cold Bay/Nelson Lagoon        | 99               | 34    | 133   | 0.02%                   |
| 99572                       | Cooper Landing                | 278              | 54    | 332   | 0.05%                   |
| 99573                       | Copper Center                 | 854              | 344   | 1,198 | 0.19%                   |
| 99574                       | Cordova                       | 1,629            | 629   | 2,258 | 0.36%                   |
| 99921                       | Craig                         | 1,170            | 485   | 1,655 | 0.27%                   |

### Applicant Mailing Address by City

| Zip Code | Municipality                    | Application Type |       | Total  | % of Total Applications |
|----------|---------------------------------|------------------|-------|--------|-------------------------|
|          |                                 | Adult            | Child |        |                         |
| 99575    | Crooked Creek                   | 69               | 64    | 133    | 0.02%                   |
| 99736    | Deering                         | 78               | 67    | 145    | 0.02%                   |
| 99737    | Delta Junction/Dot Lake/Paxson  | 2,489            | 1,133 | 3,622  | 0.58%                   |
| 99755    | Denali National Park            | 178              | 20    | 198    | 0.03%                   |
| 99576    | Dillingham/Koliganek/Twin Hills | 1,757            | 940   | 2,697  | 0.44%                   |
| 99738    | Eagle                           | 158              | 46    | 204    | 0.03%                   |
| 99577    | Eagle River                     | 15,411           | 6,558 | 21,969 | 3.55%                   |
| 99917    | Edna Bay                        | 2                | 0     | 2      | 0.00%                   |
| 99578    | Eek                             | 168              | 126   | 294    | 0.05%                   |
| 99579    | Egegik                          | 68               | 21    | 89     | 0.01%                   |
| 99580    | Ekwok                           | 74               | 54    | 128    | 0.02%                   |
| 99825    | Elfin Cove                      | 42               | 7     | 49     | 0.01%                   |
| 99739    | Elim                            | 188              | 137   | 325    | 0.05%                   |
| 99581    | Emmonak                         | 435              | 339   | 774    | 0.12%                   |
| 99725    | Ester                           | 629              | 176   | 805    | 0.13%                   |
| 99583    | False Pass                      | 40               | 21    | 61     | 0.01%                   |
| 99740    | Fort Yukon                      | 422              | 191   | 613    | 0.10%                   |
| 99586    | Gakona/Slana                    | 415              | 103   | 518    | 0.08%                   |
| 99741    | Galena                          | 423              | 203   | 626    | 0.10%                   |
| 99742    | Gambell                         | 363              | 250   | 613    | 0.10%                   |
| 99587    | Girdwood                        | 1,482            | 345   | 1,827  | 0.30%                   |
| 99588    | Glennallen                      | 1,003            | 413   | 1,416  | 0.23%                   |
| 99589    | Goodnews Bay                    | 157              | 87    | 244    | 0.04%                   |
| 99590    | Grayling                        | 100              | 65    | 165    | 0.03%                   |
| 99826    | Gustavus                        | 335              | 102   | 437    | 0.07%                   |
| 99827    | Haines                          | 1,824            | 528   | 2,352  | 0.38%                   |
| 99743    | Healy                           | 678              | 258   | 936    | 0.15%                   |
| 99602    | Holy Cross                      | 114              | 85    | 199    | 0.03%                   |
| 99603    | Homer                           | 6,674            | 2,599 | 9,273  | 1.50%                   |
| 99829    | Hoonah                          | 702              | 244   | 946    | 0.15%                   |
| 99604    | Hooper Bay                      | 544              | 569   | 1,113  | 0.18%                   |
| 99605    | Hope                            | 146              | 31    | 177    | 0.03%                   |
| 99694    | Houston                         | 393              | 134   | 527    | 0.09%                   |
| 99745    | Hughes                          | 41               | 16    | 57     | 0.01%                   |
| 99746    | Huslia                          | 179              | 105   | 284    | 0.05%                   |
| 99922    | Hydaburg                        | 240              | 112   | 352    | 0.06%                   |
| 99923    | Hyder                           | 64               | 10    | 74     | 0.01%                   |
| 99606    | Iliamna                         | 181              | 96    | 277    | 0.04%                   |
| 99540    | Indian/Bird Creek               | 154              | 40    | 194    | 0.03%                   |
| 99830    | Kake                            | 474              | 209   | 683    | 0.11%                   |
| 99747    | Kaktovik                        | 161              | 89    | 250    | 0.04%                   |
| 99607    | Kalskag                         | 118              | 94    | 212    | 0.03%                   |
| 99748    | Kaltag                          | 149              | 70    | 219    | 0.04%                   |
| 99608    | Karluk                          | 22               | 12    | 34     | 0.01%                   |
| 99609    | Kasigluk                        | 301              | 222   | 523    | 0.08%                   |
| 99610    | Kasilof                         | 1,539            | 545   | 2,084  | 0.34%                   |
| 99611    | Kenai                           | 8,150            | 3,384 | 11,534 | 1.86%                   |
| 99901    | Ketchikan                       | 8,260            | 3,004 | 11,264 | 1.82%                   |
| 99950    | Ketchikan Rural Area            | 262              | 66    | 328    | 0.05%                   |
| 99749    | Kiana                           | 244              | 158   | 402    | 0.06%                   |



### Applicant Mailing Address by City

| Zip Code | Municipality       | Application Type |       | Total  | % of Total Applications |
|----------|--------------------|------------------|-------|--------|-------------------------|
|          |                    | Adult            | Child |        |                         |
| 99612    | King Cove          | 326              | 132   | 458    | 0.07%                   |
| 99613    | King Salmon        | 383              | 143   | 526    | 0.08%                   |
| 99614    | Kipnuk             | 341              | 288   | 629    | 0.10%                   |
| 99750    | Kivalina           | 209              | 164   | 373    | 0.06%                   |
| 99925    | Klawock            | 561              | 191   | 752    | 0.12%                   |
| 99751    | Kobuk              | 71               | 66    | 137    | 0.02%                   |
| 99615    | Kodiak             | 7,775            | 3,553 | 11,328 | 1.83%                   |
| 99697    | Kodiak             | 30               | 9     | 39     | 0.01%                   |
| 99619    | Kodiak (USCG Base) | 5                | 0     | 5      | 0.00%                   |
| 99606    | Kokhanok           | 111              | 54    | 165    | 0.03%                   |
| 99620    | Kotlik             | 294              | 274   | 568    | 0.09%                   |
| 99752    | Kotzebue           | 1,849            | 1,128 | 2,977  | 0.48%                   |
| 99753    | Koyuk              | 168              | 143   | 311    | 0.05%                   |
| 99754    | Koyukuk            | 65               | 35    | 100    | 0.02%                   |
| 99621    | Kwethluk           | 382              | 322   | 704    | 0.11%                   |
| 99622    | Kwigillingok       | 192              | 152   | 344    | 0.06%                   |
| 99757    | Lake Minchumina    | 19               | 4     | 23     | 0.00%                   |
| 99624    | Larsen Bay         | 62               | 29    | 91     | 0.01%                   |
| 99625    | Levelock           | 50               | 21    | 71     | 0.01%                   |
| 99626    | Lower Kalskag      | 165              | 111   | 276    | 0.04%                   |
| 99756    | Manley Hot Springs | 85               | 17    | 102    | 0.02%                   |
| 99628    | Manokotak          | 239              | 158   | 397    | 0.06%                   |
| 99585    | Marshall           | 199              | 160   | 359    | 0.06%                   |
| 99627    | McGrath            | 309              | 142   | 451    | 0.07%                   |
| 99630    | Mekoryuk           | 149              | 56    | 205    | 0.03%                   |
| 99926    | Metlakatla         | 950              | 403   | 1,353  | 0.22%                   |
| 99903    | Meyers Chuck       | 25               | 2     | 27     | 0.00%                   |
| 99758    | Minto              | 160              | 77    | 237    | 0.04%                   |
| 99631    | Moose Pass         | 180              | 71    | 251    | 0.04%                   |
| 99632    | Mountain Village   | 430              | 347   | 777    | 0.13%                   |
| 99633    | Naknek             | 352              | 147   | 499    | 0.08%                   |
| 99634    | Napakiak           | 204              | 159   | 363    | 0.06%                   |
| 99760    | Nenana             | 613              | 222   | 835    | 0.13%                   |
| 99636    | New Stuyahok       | 277              | 215   | 492    | 0.08%                   |
| 99690    | Nightmute          | 126              | 103   | 229    | 0.04%                   |
| 99635    | Nikiski            | 1,556            | 617   | 2,173  | 0.35%                   |
| 99691    | Nikolai            | 88               | 30    | 118    | 0.02%                   |
| 99638    | Nikolski           | 25               | 16    | 41     | 0.01%                   |
| 99639    | Ninilchik          | 803              | 214   | 1,017  | 0.16%                   |
| 99761    | Noatak             | 264              | 213   | 477    | 0.08%                   |
| 99762    | Nome/Golovin       | 2,369            | 1,191 | 3,560  | 0.57%                   |
| 99640    | Nondalton          | 139              | 74    | 213    | 0.03%                   |
| 99763    | Noorvik            | 356              | 283   | 639    | 0.10%                   |
| 99764    | Northway           | 200              | 93    | 293    | 0.05%                   |
| 99789    | Nuiqsut            | 233              | 163   | 396    | 0.06%                   |
| 99765    | Nulato             | 222              | 130   | 352    | 0.06%                   |
| 99666    | Nunam Iqua         | 101              | 98    | 199    | 0.03%                   |
| 99641    | Nunapitchuk        | 266              | 218   | 484    | 0.08%                   |
| 99643    | Old Harbor         | 153              | 83    | 236    | 0.04%                   |
| 99644    | Ouzinkie           | 142              | 57    | 199    | 0.03%                   |

**Applicant Mailing Address by City**

| Zip Code | Municipality        | Application Type |       | Total  | % of Total Applications |
|----------|---------------------|------------------|-------|--------|-------------------------|
|          |                     | Adult            | Child |        |                         |
| 99645    | Palmer              | 13,787           | 5,886 | 19,673 | 3.18%                   |
| 99647    | Pedro Bay           | 34               | 21    | 55     | 0.01%                   |
| 99832    | Pelican             | 104              | 14    | 118    | 0.02%                   |
| 99648    | Perryville          | 73               | 38    | 111    | 0.02%                   |
| 99833    | Petersburg          | 2,279            | 840   | 3,119  | 0.50%                   |
| 99649    | Pilot Point         | 44               | 25    | 69     | 0.01%                   |
| 99650    | Pilot Station       | 302              | 265   | 567    | 0.09%                   |
| 99651    | Platinum            | 31               | 10    | 41     | 0.01%                   |
| 99927    | Point Baker         | 54               | 20    | 74     | 0.01%                   |
| 99766    | Point Hope          | 421              | 310   | 731    | 0.12%                   |
| 99759    | Point Lay           | 120              | 105   | 225    | 0.04%                   |
| 99836    | Port Alexander      | 49               | 14    | 63     | 0.01%                   |
| 99653    | Port Alsworth       | 76               | 37    | 113    | 0.02%                   |
| 99549    | Port Heiden         | 61               | 34    | 95     | 0.02%                   |
| 99550    | Port Lions          | 173              | 65    | 238    | 0.04%                   |
| 99734    | Prudhoe Bay         | 29               | 0     | 29     | 0.00%                   |
| 99655    | Quinhagak           | 357              | 240   | 597    | 0.10%                   |
| 99767    | Rampart             | 27               | 2     | 29     | 0.00%                   |
| 99656    | Red Devil           | 25               | 11    | 36     | 0.01%                   |
| 99768    | Ruby                | 127              | 66    | 193    | 0.03%                   |
| 99657    | Russian Mission     | 184              | 153   | 337    | 0.05%                   |
| 99591    | Saint George Island | 91               | 44    | 135    | 0.02%                   |
| 99658    | Saint Marys         | 384              | 307   | 691    | 0.11%                   |
| 99659    | Saint Michael       | 205              | 203   | 408    | 0.07%                   |
| 99660    | Saint Paul Island   | 347              | 152   | 499    | 0.08%                   |
| 99661    | Sand Point          | 382              | 154   | 536    | 0.09%                   |
| 99769    | Savoonga            | 383              | 298   | 681    | 0.11%                   |
| 99662    | Scammon Bay         | 209              | 264   | 473    | 0.08%                   |
| 99770    | Selawik             | 438              | 351   | 789    | 0.13%                   |
| 99663    | Seldovia            | 353              | 90    | 443    | 0.07%                   |
| 99664    | Seward              | 2,961            | 963   | 3,924  | 0.63%                   |
| 99665    | Shageluk            | 77               | 55    | 132    | 0.02%                   |
| 99771    | Shaktolik           | 132              | 79    | 211    | 0.03%                   |
| 99772    | Shishmaref          | 327              | 232   | 559    | 0.09%                   |
| 99773    | Shungnak            | 140              | 116   | 256    | 0.04%                   |
| 99835    | Sitka               | 5,891            | 2,068 | 7,959  | 1.29%                   |
| 99840    | Skagway             | 675              | 139   | 814    | 0.13%                   |
| 99667    | Skwentna            | 65               | 13    | 78     | 0.01%                   |
| 99668    | Sleetmute           | 59               | 23    | 82     | 0.01%                   |
| 99669    | Soldotna            | 9,298            | 3,507 | 12,805 | 2.07%                   |
| 99670    | South Naknek        | 83               | 33    | 116    | 0.02%                   |
| 99671    | Stebbins            | 282              | 262   | 544    | 0.09%                   |
| 99672    | Sterling            | 2,049            | 786   | 2,835  | 0.46%                   |
| 99774    | Stevens Village     | 68               | 27    | 95     | 0.02%                   |
| 99674    | Sutton/Chickaloon   | 743              | 288   | 1,031  | 0.17%                   |
| 99675    | Takotna             | 27               | 17    | 44     | 0.01%                   |
| 99676    | Talkeetna           | 1,079            | 285   | 1,364  | 0.22%                   |
| 99776    | Tanacross           | 61               | 22    | 83     | 0.01%                   |
| 99777    | Tanana              | 204              | 93    | 297    | 0.05%                   |
| 99677    | Tatitlek            | 62               | 30    | 92     | 0.01%                   |

## Applicant Mailing Address by City

| Zip Code                                      | Municipality            | Application Type |                | Total          | % of Total Applications |
|---|-------------------------|------------------|----------------|----------------|-------------------------|
|   |                         | Adult            | Child          |                |                         |
| 99778   | Teller                  | 138              | 91             | 229            | 0.04%                   |
| 99841   | Tenakee Springs         | 100              | 15             | 115            | 0.02%                   |
| 99779   | Tetlin                  | 64               | 16             | 80             | 0.01%                   |
| 99919   | Thorne Bay              | 381              | 129            | 510            | 0.08%                   |
| 99678   | Togiak                  | 465              | 336            | 801            | 0.13%                   |
| 99780   | Tok/Mentasta Lake       | 1,132            | 443            | 1,575          | 0.25%                   |
| 99637   | Toksook Bay             | 302              | 277            | 579            | 0.09%                   |
| 99683   | Trapper Creek           | 406              | 80             | 486            | 0.08%                   |
| 99679   | Tuluksak                | 256              | 195            | 451            | 0.07%                   |
| 99680   | Tuntutuliak             | 197              | 172            | 369            | 0.06%                   |
| 99681   | Tununak                 | 166              | 136            | 302            | 0.05%                   |
| 99682   | Tyonek                  | 128              | 61             | 189            | 0.03%                   |
| 99684   | Unalakeet               | 489              | 257            | 746            | 0.12%                   |
| 99685   | Unalaska                | 643              | 256            | 899            | 0.15%                   |
| 99692   | Unalaska (Dutch Harbor) | 1,002            | 284            | 1,286          | 0.21%                   |
| 99686   | Valdez                  | 2,779            | 1,106          | 3,885          | 0.63%                   |
| 99781   | Venetie                 | 147              | 75             | 222            | 0.04%                   |
| 99782   | Wainwright              | 326              | 199            | 525            | 0.08%                   |
| 99783   | Wales                   | 85               | 63             | 148            | 0.02%                   |
| 99928   | Ward Cove               | 1,065            | 387            | 1,452          | 0.23%                   |
| 99654   | Wasilla                 | 12,866           | 6,073          | 18,939         | 3.06%                   |
| 99687   | Wasilla                 | 11,812           | 5,148          | 16,960         | 2.74%                   |
| 99784   | White Mountain          | 121              | 77             | 198            | 0.03%                   |
| 99693   | Whittier                | 179              | 44             | 223            | 0.04%                   |
| 99688   | Willow                  | 1,750            | 585            | 2,335          | 0.38%                   |
| 99929   | Wrangell                | 1,682            | 559            | 2,241          | 0.36%                   |
| 99689   | Yakutat                 | 454              | 169            | 623            | 0.10%                   |
| <b>Total Other Municipalities</b>             |                         | <b>192,632</b>   | <b>85,496</b>  | <b>278,128</b> | <b>44.92%</b>           |
| <b>Office of Public Advocacy<sup>1</sup></b>  |                         | <b>757</b>       | <b>0</b>       | <b>757</b>     | <b>0.12%</b>            |
| <b>Health and Social Services<sup>1</sup></b> |                         | <b>0</b>         | <b>2,230</b>   | <b>2,230</b>   | <b>0.36%</b>            |
| <b>Total All Alaska Municipalities</b>        |                         | <b>425,156</b>   | <b>177,395</b> | <b>602,551</b> | <b>97.31%</b>           |
| <b>West Coast states</b>                      |                         |                  |                |                |                         |
| Washington                                    |                         | 946              | 536            | 1,482          | 0.24%                   |
| Oregon  |                         | 264              | 91             | 355            | 0.06%                   |
| California                                    |                         | 755              | 430            | 1,185          | 0.19%                   |
| <b>Total West Coast States</b>                |                         | <b>1,965</b>     | <b>1,057</b>   | <b>3,022</b>   | <b>0.49%</b>            |
| <b>Other States</b>                           |                         | <b>8,043</b>     | <b>5,604</b>   | <b>13,647</b>  | <b>2.20%</b>            |
| <b>Total All Applicants</b>                   |                         | <b>435,164</b>   | <b>184,056</b> | <b>619,220</b> | <b>100.00%</b>          |

<sup>1</sup>Applicants reside throughout the state, however applications are submitted by the respective agency with the agency's address (Health and Social Services are filed with a Juneau address and Office of Public Advocacy are filed with an Anchorage address).

## Documents Processed

| Document Type                            | Number  | Document Type                      | Number                  |
|--|---------|------------------------------------|-------------------------|
| Application/Supplemental Schedule        | 673,512 | Stop Payment Request               | 1,623                   |
| Signature Page                           | 157,305 | Hearing Position Statements        | 1,579                   |
| Supporting Documentation                 | 129,038 | Direct Deposit Withdrawal (Adult)  | 1,505                   |
| Missing Information Letter               | 61,644  | Adult Certification                | 1,318                   |
| Denial Letter                            | 53,242  | Social Security Card               | 1,316                   |
| PFD Forms                                | 40,432  | Employment Questionnaire           | 1,066                   |
| Garnishments and Attachments             | 35,936  | Medical Treatment Verification     | 959                     |
| Legal Document                           | 25,601  | Child Information Letter           | 925                     |
| Other                                    | 20,405  | Power of Attorney                  | 916                     |
| Vital Statistics Document                | 19,248  | Notice of Hearing                  | 826                     |
| Incoming Correspondence                  | 17,178  | Formal Appeals form                | 802                     |
| PFD Outcards/Printouts                   | 15,237  | Court Order                        | 614                     |
| Education Verification                   | 13,129  | Naturalization Papers              | 560                     |
| Returned Envelope                        | 12,914  | Blank Document                     | 463                     |
| Informal Appeals Decision                | 12,259  | Driver License                     | 463                     |
| Change of Address                        | 11,689  | Child Certification                | 457                     |
| Direct Deposit (Adult)                   | 9,745   | Competing Sponsor Withdrawal       | 437                     |
| Income Tax Forms                         | 8,963   | PFD Returned Warrant               | 386                     |
| Leave and Earnings Statement             | 8,107   | Tuition Verification               | 386                     |
| Birth Certificate                        | 7,910   | Direct Deposit Withdrawal (Child)  | 361                     |
| Personal Identification                  | 6,639   | Moving Receipt                     | 359                     |
| Supplemental Schedule as Info Letter     | 5,759   | Formal Hearing Motion              | 292                     |
| Adult Supplemental Schedule              | 5,669   | Appeals Remand Letter              | 288                     |
| Direct Deposit (Child)                   | 5,314   | Applicant Withdrawal Letter        | 231                     |
| Prior Year Non-filer Information Form    | 5,299   | Jury Questionnaire                 | 185                     |
| PFD Request for Information              | 4,855   | Appeals Overturn Letter            | 158                     |
| Request for Informal Appeal              | 4,642   | Voter Registration Card            | 156                     |
| Airline Ticket                           | 4,628   | Sworn Statement to Reapply         | 150                     |
| Outgoing Correspondence                  | 4,473   | Reconsideration                    | 94                      |
| Envelope (late or out-of-state postmark) | 3,610   | Motion Decision                    | 90                      |
| Employment Record                        | 2,993   | Applicant Request for Information  | 82                      |
| Adult Information Letter                 | 2,893   | Eligibility Verification Signature | 82                      |
| Alien Registration Card                  | 2,477   | Reconsideration Decision           | 68                      |
| Appeal Fee Payment                       | 2,401   | Superior Court Appeal              | 40                      |
| Rental or Lease Agreement                | 2,183   | Sponsor Eligibility Form           | 39                      |
| Passport                                 | 2,138   | Supreme Court Decision             | 16                      |
| Formal Appeals Decision                  | 2,102   | Supreme Court Appeal               | 8                       |
| 5-Year Eligibility Questionnaire         | 1,684   | Superior Court Decision            | 7                       |
|  |         | <b>Total Documents Processed</b>   | <b><u>1,422,560</u></b> |

## **Dividend Payment Information**

The dividend payment season begins well before the first dividend payments are paid to applicants in October. The payment season actually begins in August, when the division starts to coordinate direct deposit transactions with banks and financial institutions participating in the direct deposit program.

In September, the division begins the task of calculating the dividend amount that will be announced by the commissioner of Revenue later in the month. Because there are still applications pending review or completion, the division estimates the total number of applicants who will ultimately qualify for a dividend and takes other factors into consideration when calculating the dividend. The dividend calculation is included in the executive summary section of this report.

Immediately following the dividend announcement by the commissioner, the division compiles payment data to go to financial institutions (for direct deposit) and the state Department of Administration (for direct-deposit notices and dividend checks). Processing begins before the first payment date in October to allow ample time for printing and mailing the large volume of direct deposit advices and checks. Direct-deposit advices are printed first so that all direct-deposit recipients receive notification before they are paid in early October. Direct deposit occurs a week prior to the first mailing of checks. Checks are mailed to eligible applicants in mid-October.

This section includes data and information related to the payment of dividends in 2003. In addition to payment of 2003 dividends, the division paid prior-year dividends in 2003 due primarily to clearance of an inventory of 2002 applications resolved through review and appeals. Some prior-year dividends were also paid from applications filed by individuals who turned 18 years old and did not receive a dividend during a prior year.

### 2003 Dividend Payment Activity

|                                     | Applicants                   | % Total                      |
|-------------------------------------|------------------------------|------------------------------|
| <b>2003 Dividends Paid</b>          |                              |                              |
| <b>Direct Deposit</b>               |                              |                              |
| Full Direct Deposit                 | 418,438                      |                              |
| Partial Direct Deposit <sup>1</sup> |                              |                              |
| <i>UA College Savings Plan</i>      | 4,113                        |                              |
| <i>Garnisheed</i>                   | 13,888                       |                              |
| Fully Garnisheed or Assigned        | <u>7,829</u>                 |                              |
| <b>Total Paid by Direct Deposit</b> | <b>444,268</b>               | <b>74.74%</b>                |
| <b>Check Runs</b>                   |                              |                              |
| Full Payment                        | 124,567                      |                              |
| Partial Payment <sup>1</sup>        |                              |                              |
| <i>UA College Savings Plan</i>      | 1,554                        |                              |
| <i>Garnisheed</i>                   | 11,372                       |                              |
| Fully Garnisheed or Assigned        | <u>12,740</u>                |                              |
| <b>Total Paid by Check</b>          | <b><u>150,233</u></b>        | <b><u>25.27%</u></b>         |
| <b>Total 2003 Dividends Paid</b>    | <b><u><u>594,501</u></u></b> | <b><u><u>100.00%</u></u></b> |

<sup>1</sup> Applicants receive a partial direct deposit or payment when their dividend is garnisheed or assigned and the total amount garnisheed or assigned is less than the dividend amount. Applicants may assign up to 100% of their dividend, but only to federal, state or municipal agencies.

Direct deposit date: **October 8, 2003**  
 First batch of checks issued: **October 15, 2003**

Number of checks issued in the first batch of checks:  
**128,562 (93.5% of all checks)**

Number of checks returned undeliverable and cancelled: **3,742**  
*(checks are reissued after applicant contacts the division)*

Number of checks for which stop payment requested: **616**  
*(checks are reissued after stop payment request processed)*

**Prior-Year Dividend Payment Activity**  
*(Prior-Year Dividends Issued in Calendar Year 2003)*

| <b>Dividend Year</b> | <b># of Checks</b>  | <b>Dividend Amount</b> | <b>Total</b>                  |
|----------------------|---------------------|------------------------|-------------------------------|
| 2002                 | 3,430               | \$1,540.76             | \$ 5,284,806.80               |
| 2001                 | 297                 | 1,850.28               | 549,533.16                    |
| 2000                 | 103                 | 1,963.86               | 202,277.58                    |
| 1999                 | 88                  | 1,769.84               | 155,745.92                    |
| 1998                 | 59                  | 1,540.88               | 90,911.92                     |
| 1997                 | 53                  | 1,296.54               | 68,716.62                     |
| 1996                 | 53                  | 1,130.68               | 59,926.04                     |
| 1995                 | 40                  | 990.30                 | 39,612.00                     |
| 1994                 | 40                  | 983.90                 | 39,356.00                     |
| 1993                 | 29                  | 949.46                 | 27,534.34                     |
| 1992                 | 23                  | 915.84                 | 21,064.32                     |
| 1991                 | 19                  | 931.34                 | 17,695.46                     |
| 1990                 | 26                  | 952.63                 | 24,768.38                     |
| 1989                 | 27                  | 873.16                 | 23,575.32                     |
| 1988                 | 18                  | 826.93                 | 14,884.74                     |
| 1987                 | 14                  | 708.19                 | 9,914.66                      |
| 1986                 | 14                  | 556.26                 | 7,787.64                      |
| 1985                 | 16                  | 404.00                 | 6,464.00                      |
| 1984                 | 7                   | 331.29                 | 2,319.03                      |
| 1983                 | 2                   | 386.15                 | 772.30                        |
| <b>Total</b>         | <b><u>4,358</u></b> |                        | <b><u>\$ 6,647,666.23</u></b> |

|   |
|---|
| Number of 18-Year-Old Prior-Year Dividend Applications Received in 2003: <b>559</b> |
|---|

**Prior year dividends are issued for the following reasons:**

- ❖ 2002 dividend applications were still in review or appeal at the close of 2002.
- ❖ Prior year 18-year-old filers. Alaska Statute 43.23.055 authorizes individuals who hadn't received a dividend when they were a child to apply for that dividend when they turn 18 or become emancipated. These applicants must meet eligibility requirements and apply for the prior-year dividend within one year of their 18th birthday.
- ❖ Disabled adults and estates have an extended filing date of one year later than other applicants.

### Direct Deposit Summary

| <u><i>Institution</i></u>                 | <u><i># Deposits</i></u> | <u><i>Amount of Deposits</i></u> | <u><i>% Total Amount</i></u> |
|---|--------------------------|----------------------------------|------------------------------|
| 1 Alaska USA FCU                          | 149,962                  | \$162,509,040.53                 | 34.31%                       |
| 2 Wells Fargo Bank Alaska                 | 97,628                   | 106,139,966.50                   | 22.41%                       |
| 3 First National Bank Alaska              | 47,048                   | 51,108,122.94                    | 10.79%                       |
| 4 Credit Union 1                          | 29,339                   | 31,805,654.62                    | 6.72%                        |
| 5 Denali Alaskan FCU                      | 22,663                   | 24,512,764.14                    | 5.18%                        |
| 6 Matanuska Valley FCU                    | 17,751                   | 19,249,830.87                    | 4.06%                        |
| 7 Key Bank of Alaska                      | 11,684                   | 12,768,187.07                    | 2.70%                        |
| 8 Northrim Bank                           | 9,486                    | 10,285,851.83                    | 2.17%                        |
| 9 True North FCU                          | 7,256                    | 7,848,755.03                     | 1.66%                        |
| 10 Northern Schools FCU                   | 6,938                    | 7,532,605.04                     | 1.59%                        |
| 11 First Bank                             | 6,566                    | 7,129,683.54                     | 1.51%                        |
| 12 Alaska Pacific Bank                    | 5,002                    | 5,421,510.91                     | 1.14%                        |
| 13 Mt. McKinley Mutual Savings Bank       | 4,251                    | 4,609,794.23                     | 0.97%                        |
| 14 MAC FCU                                | 4,195                    | 4,596,124.67                     | 0.97%                        |
| 15 Denali State Bank                      | 4,155                    | 4,487,584.63                     | 0.95%                        |
| 16 North Country Credit Union             | 2,969                    | 3,195,022.02                     | 0.67%                        |
| 17 Tongass FCU                            | 2,261                    | 2,465,324.16                     | 0.52%                        |
| 18 Advancial FCU                          | 1,945                    | 2,146,482.22                     | 0.45%                        |
| 19 Northern Skies FCU                     | 1,464                    | 1,578,841.28                     | 0.33%                        |
| 20 Alaska First Bank & Trust              | 1,333                    | 1,450,426.46                     | 0.31%                        |
| 21 ALPS FCU                               | 1,117                    | 1,218,408.33                     | 0.26%                        |
| 22 Alaska Airlines Employees FCU          | 722                      | 786,954.49                       | 0.17%                        |
| 23 Alaska District Engineers FCU          | 565                      | 621,608.45                       | 0.13%                        |
| 24 City of Fairbanks FCU                  | 139                      | 149,270.67                       | 0.03%                        |
| <b>Total All Institutions</b>             | <b>436,439</b>           | <b>\$473,617,814.63</b>          | <b>100.00%</b>               |
| Qualified but fully garnisheed            | 7,829                    | \$0.00                           |                              |
| <b>Total Qualified for Direct Deposit</b> | <b>444,268</b>           | <b>\$473,617,814.63</b>          |                              |

FCU - Federal Credit Union



### Direct Deposit Historical Data

| Year              | Number of Applicants |                   | Dividend Amount  | Amount of Direct Deposit | Participating Institutions | Percentage                         |               |               |
|-------------------|----------------------|-------------------|------------------|--------------------------|----------------------------|------------------------------------|---------------|---------------|
|                   | Total                | Requested Deposit |                  |                          |                            | Qualified for Deposit <sup>2</sup> | Requested     | Qualified     |
| 1993              | 549,066              | 205,895           | 167,618          | \$949.46                 | \$159,146,586.28           | 23                                 | 37.50%        | 30.53%        |
| 1994              | 557,836              | 285,132           | 245,911          | \$983.90                 | \$241,951,832.90           | 26                                 | 51.11%        | 44.08%        |
| 1995              | 563,020              | 332,224           | 284,466          | \$990.30                 | \$281,706,679.80           | 28                                 | 59.01%        | 50.53%        |
| 1996              | 564,362              | 345,929           | 295,803          | \$1,130.68               | \$334,458,536.04           | 43                                 | 61.30%        | 52.41%        |
| 1997              | 573,057              | 367,693           | 318,160          | \$1,296.54               | \$412,507,166.40           | 38                                 | 64.16%        | 55.52%        |
| 1998              | 581,803              | 389,310           | 342,531          | \$1,540.88               | \$527,799,167.28           | 34                                 | 66.91%        | 58.87%        |
| 1999 <sup>1</sup> | 589,738              | 407,508           | 371,262          | \$1,769.84               | \$649,647,524.81           | 34                                 | 69.10%        | 62.95%        |
| 2000              | 607,784              | 443,713           | 390,312          | \$1,963.86               | \$755,128,494.87           | 33                                 | 73.01%        | 64.22%        |
| 2001              | 608,458              | 458,848           | 404,247          | \$1,850.28               | \$735,554,215.65           | 33                                 | 75.41%        | 66.44%        |
| 2002              | 612,188              | 470,007           | 430,891          | \$1,540.76               | \$642,457,942.63           | 28                                 | 76.77%        | 70.39%        |
| 2003              | 619,220              | 482,110           | 444,268          | \$1,107.56               | \$473,617,814.63           | 24                                 | 77.86%        | 71.75%        |
| <b>Total</b>      | <b>6,426,532</b>     | <b>4,188,369</b>  | <b>3,695,469</b> | <b>\$15,124.06</b>       | <b>\$5,213,975,961.29</b>  |                                    | <b>65.17%</b> | <b>57.50%</b> |

**Note 1:** Beginning 1999, Permanent Fund Dividend Division implemented partial direct deposit. For 1993 through 1998, applicants qualified for direct deposit only if they received the full dividend amount.

**Note 2:** Applicants may not qualify for direct deposit because their bank account was closed or their direct deposit information was incomplete.

## Dividend Garnishments Summary

*Under state statutes, up to 80% of a dividend may be garnished except that a governmental agency may garnishee up to 100% of a dividend. Any amount in excess of the total amount garnished is paid to the applicant.*

| <i>(Sorted by Amount Paid)</i><br><b>Top Ten Garnishors</b> | <b>Garnishment Requests</b> |                         |                  |                        |                 | <b>Primary reason</b>                     |
|---|-----------------------------|-------------------------|------------------|------------------------|-----------------|---|
|   | <b>Submitted</b>            |                         | <b>Paid</b>      |                        |                 |   |
|   | <b># Records</b>            | <b>Amount</b>           | <b># Records</b> | <b>Amount</b>          | <b>Average</b>  |   |
| Child Support Enforcement Division                          | 13,216                      | \$72,977,569.61         | 10,481           | \$9,667,006.80         | \$922.34        | Delinquent child support payments         |
| Alaska Clerk of the Court                                   | 17,497                      | 115,320,218.95          | 8,779            | 6,563,944.24           | 747.69          | Small claims, debts and restitution       |
| Postsecondary Education                                     | 6,421                       | 65,713,582.00           | 4,878            | 4,956,756.83           | 1,016.15        | Delinquent state student loan payments    |
| Internal Revenue Service                                    | 8,620                       | 116,326,286.00          | 4,928            | 4,109,862.92           | 833.98          | Delinquent federal income tax liabilities |
| Department of Law   | 37,323                      | 16,093,355.20           | 12,879           | 2,785,791.63           | 216.30          | Fines, attorney fees, prison costs        |
| Municipality of Anchorage                                   | 56,922                      | 10,809,618.70           | 18,500           | 2,610,400.54           | 141.10          | Fines, delinquent taxes, attorney fees    |
| Alaska Department of Labor                                  | 2,344                       | 3,169,184.19            | 1,438            | 911,225.43             | 633.68          | Delinquent employment tax liabilities     |
| U.S. Bankruptcy Court                                       | 301                         | 752,500.00              | 295              | 325,834.20             | 1,104.52        | Bankruptcy estate liabilities             |
| Department of Health and Social Services                    | 664                         | 1,271,916.31            | 370              | 208,565.54             | 563.69          | Public assistance fraud repayments        |
| City and Borough of Juneau                                  | 1,585                       | 924,563.47              | 574              | 121,585.67             | 211.82          | Fines, delinquent taxes, attorney fees    |
| <b>Total of Top Ten Garnishors</b>                          | <b>144,893</b>              | <b>\$403,358,794.43</b> | <b>63,122</b>    | <b>\$32,260,973.80</b> | <b>\$511.09</b> |   |
| <b>Total of Other Garnishors</b>                            | <b>6,560</b>                | <b>\$57,020,185.02</b>  | <b>3,207</b>     | <b>\$1,650,403.21</b>  | <b>\$514.63</b> |   |
| <b>Total of All Garnishors</b>                              | <b>151,453</b>              | <b>\$460,378,979.45</b> | <b>66,329</b>    | <b>\$33,911,377.01</b> | <b>\$511.26</b> |   |

## Dividend Assignments Summary

*State statutes permit applicants to assign their dividend to a government agency or regional housing authority created under Alaska Statute 18.55.996. Any amount in excess of liability due the assigned agency is paid to the applicant.*

|   | <u>Assignment Requests</u> |                       |              |                       |                 | Primary reason                      |
|---|----------------------------|-----------------------|--------------|-----------------------|-----------------|-------------------------------------|
|   | Submitted                  |                       | Paid         |                       |                 |                                     |
| Top Ten Assignees                         | # Records                  | Amount                | # Records    | Amount                | Average         |                                     |
| AVCP <sup>1</sup> Housing Authority       | 334                        | \$347,535.41          | 305          | \$302,544.31          | 991.95          | Mortgage payments                   |
| Tlingit-Haida Regional Housing            | 319                        | 341,029.74            | 295          | 299,913.46            | \$1,016.66      | Mortgage payments                   |
| State Division of Investments             | 246                        | 260,093.52            | 213          | 230,742.97            | 1,083.30        | Bristol Bay disaster loan payments  |
| Clerk of the Court                        | 588                        | 364,605.86            | 416          | 224,744.52            | 540.25          | Restitution, fines                  |
| Office of Alcoholism and Drug Abuse       | 924                        | 572,982.15            | 326          | 216,365.92            | 663.70          | Cost of rehabilitation              |
| Bering Straits Regional Housing Authority | 124                        | 126,153.16            | 122          | 120,250.25            | 985.66          | Mortgage payments                   |
| Department of Labor                       | 145                        | 140,536.18            | 88           | 83,229.10             | 945.79          | Unemployment insurance repayments   |
| Department of Health and Social Services  | 136                        | 119,956.50            | 83           | 82,241.91             | 990.87          | Restitution to crime victims, fines |
| Kodiak Island Housing Authority           | 87                         | 88,300.00             | 75           | 78,102.06             | 1,041.36        | Mortgage payments                   |
| University of Alaska                      | 96                         | 97,488.65             | 62           | 59,915.56             | 966.38          | Tuition and college savings plan    |
| <b>Total of Top Ten Assignees</b>         | <b>2,999</b>               | <b>\$2,458,681.17</b> | <b>1,985</b> | <b>\$1,698,050.06</b> | <b>\$855.44</b> |                                     |
| <b>Total of Other Assignees</b>           | <b>1,379</b>               | <b>\$1,262,997.71</b> | <b>1,124</b> | <b>\$955,801.72</b>   | <b>\$850.36</b> |                                     |
| <b>Total of All Assignees</b>             | <b>4,378</b>               | <b>\$3,721,678.88</b> | <b>3,109</b> | <b>\$2,653,851.78</b> | <b>\$853.60</b> |                                     |

<sup>1</sup> Association of Village Council Presidents

**University of Alaska  
College Savings Plan Contributions**

| <b>Year</b>  | <b>Applicants</b>    | <b>Total<br/>Contributions</b> |
|--------------|----------------------|--------------------------------|
| 1991         | 5,018                | \$ 2,329,943.62                |
| 1992         | 5,490                | 2,507,537.40                   |
| 1993         | 5,925                | 2,806,199.41                   |
| 1994         | 5,905                | 2,897,904.88                   |
| 1995         | 5,405                | 2,670,684.24                   |
| 1996         | 4,945                | 2,790,779.51                   |
| 1997         | 4,426                | 2,861,877.87                   |
| 1998         | 3,468                | 2,655,048.28                   |
| 1999         | 3,663                | 3,225,384.06                   |
| 2000         | 3,766                | 3,681,902.17                   |
| 2001         | 4,464                | 4,107,056.79                   |
| 2002         | 5,094                | 3,901,412.29                   |
| 2003         | <u>5,667</u>         | <u>3,121,888.81</u>            |
| <b>Total</b> | <b><u>63,236</u></b> | <b><u>\$39,557,619.33</u></b>  |

Legislation establishing the Alaska Education Trust Fund (AS 14.40.807) and authorizing an allowance for applicants to contribute 50% of their dividend to the fund was passed in 1990. The name of the fund was changed in 1991 to the Advance College Tuition (ACT) Payment Fund. The ACT checkoff first appeared on 1991 dividend applications.

In fall 2001, the University of Alaska developed the UA College Savings Plan program as the main program under which persons make contributions toward advanced college tuition costs. The UA College Savings Plan provides different investment strategies to individuals making contributions.

## Collections Activity

The division collects dividends from applicants who were paid and subsequently determined to be ineligible to receive the dividend. Applicants are assessed 10.5% interest until the dividend is paid back.

|              | 2003                |            |              |                  |                  | 2002                |            |              |                  |                  |
|--------------|---------------------|------------|--------------|------------------|------------------|---------------------|------------|--------------|------------------|------------------|
|              | Beginning Inventory | New Cases  | Closed Cases | Ending Inventory | Amount Collected | Beginning Inventory | New Cases  | Closed Cases | Ending Inventory | Amount Collected |
| January      | 439                 | 19         | 16           | 442              | \$35,146         | 421                 | 11         | 11           | 421              | \$15,757         |
| February     | 442                 | 24         | 8            | 458              | 31,728           | 421                 | 17         | 40           | 398              | 20,313           |
| March        | 458                 | 14         | 21           | 451              | 33,539           | 398                 | 5          | 19           | 384              | 13,724           |
| April        | 451                 | 11         | 13           | 449              | 22,594           | 384                 | 21         | 12           | 393              | 28,884           |
| May          | 449                 | 21         | 8            | 462              | 24,391           | 393                 | 5          | 6            | 392              | 13,358           |
| June         | 462                 | 37         | 48           | 451              | 11,872           | 392                 | 1          | 0            | 393              | 14,042           |
| July         | 451                 | 9          | 9            | 451              | 21,017           | 393                 | 45         | 58           | 380              | 28,463           |
| August       | 451                 | 13         | 16           | 448              | 14,739           | 380                 | 37         | 13           | 404              | 17,354           |
| September    | 448                 | 19         | 18           | 449              | 28,009           | 404                 | 18         | 1            | 421              | 14,340           |
| October      | 449                 | 11         | 34           | 426              | 131,027          | 421                 | 19         | 15           | 425              | 105,275          |
| November     | 426                 | 10         | 18           | 418              | 15,772           | 425                 | 5          | 8            | 422              | 26,232           |
| December     | 418                 | 26         | 47           | 397              | 5,075            | 422                 | 28         | 11           | 439              | 22,357           |
| <b>Total</b> |                     | <b>214</b> | <b>256</b>   |                  | <b>\$374,909</b> |                     | <b>212</b> | <b>194</b>   |                  | <b>\$320,099</b> |

## **Dividend Information Offices**

The division's dividend information offices perform crucial services for applicants who need assistance with their applications or have questions regarding the dividend program. Information offices are located in Anchorage, Fairbanks and Juneau. The offices help tens of thousands of Alaskans through walk-in, telephone and e-mail contact.

Peak seasons for the information offices are beginning of January through March (filing season) and September through October (dividend payment season).

In January through March, the offices receive thousands of applications from walk-ins. Employees assist applicants with questions about completing applications and provide information about the dividend program. The highest volume of filing occurs the first two weeks of January and last two weeks of March.

In September through October, employees field inquiries from applicants who want to make sure they are on file and accepted for dividend payment, and those who have questions regarding their dividend, particularly those who are garnisheed and have not received their dividend.

Although activities peak during these times of year, employees still receive thousands of contacts in the off-season. Contacts during the off-season are primarily from applicants who are completing their application because they submitted an incomplete application; either they didn't answer questions, failed to include requested information, or did not include sufficient documentation with their original application.

## Dividend Information Office Activity Summary

|  | Information Office |               |               | Total         |
|--|--------------------|---------------|---------------|---------------|
|  | Anchorage          | Juneau        | Fairbanks     |               |
| <b>Telephone Calls</b>                     | <b>64,486</b>      | <b>27,313</b> | <b>4,106</b>  | <b>95,905</b> |
| <b>Counter Contacts</b>                    | <b>32,608</b>      | <b>6,861</b>  | <b>15,747</b> | <b>55,216</b> |
| <b>Documents Processed</b>                 |                    |               |               |               |
| Applications received                      | 34,347             | 7,222         | 10,683        | 52,252        |
| Changes of address                         | 9,977              | 6,276         | 2,012         | 18,265        |
| Missing Information letters                | 7,604              | 4,480         | 3,566         | 15,650        |
| Direct-deposit withdrawals/changes         | 3,141              | 3,716         | 1,066         | 7,923         |
| Review Cases                               | 171                | 300           | 462           | 933           |
| Requests to stop payment of check          | 2                  | 607           | 7             | 616           |
| <b>Total Documents Processed</b>           | <b>55,242</b>      | <b>22,601</b> | <b>17,796</b> | <b>95,639</b> |
| <b>Correspondence</b>                      |                    |               |               |               |
| E-mail responses <sup>1</sup>              | 0                  | 451           | 31,218        | 31,669        |
| Written responses to applicant letters     | 253                | 1,907         | 1,714         | 3,874         |
| Dividend income verifications <sup>2</sup> | 0                  | 1,826         | 0             | 1,826         |
| Telephone responses to applicant letters   | 78                 | 356           | 2,220         | 2,654         |
| <b>Total Correspondence</b>                | <b>331</b>         | <b>4,540</b>  | <b>35,152</b> | <b>40,023</b> |

<sup>1</sup>Fairbanks Dividend Information Office is assigned primary responsibility for answering the division's e-mails from applicants.

<sup>2</sup>Dividend income verifications are requests from agencies, i.e. Alaska Housing Finance Corporation (low-income housing), for confirmation that the applicant received a dividend. The verifications are used by agencies to determine eligibility for their programs.

Number of toll free (1-800) calls received: **49,461**  
*(includes calls made outside of business hours to message service)*

## Summary of Questions Answered by Telephone

| <b>Questions regarding</b>                                      | <b>Total</b>  | <b>Dividend Information Office</b> |               |                  |
|---|---------------|------------------------------------|---------------|------------------|
|   |               | <b>Anchorage</b>                   | <b>Juneau</b> | <b>Fairbanks</b> |
| Status of application   | 24,476        | 14,335                             | 9,211         | 930              |
| Address verification or change                                  | 10,944        | 9,716                              | 1,026         | 202              |
| Direct deposit  | 8,947         | 6,220                              | 2,296         | 430              |
| Receipt of documentation submitted                              | 8,701         | 7,196                              | 1,287         | 217              |
| How to complete an application or form                          | 7,761         | 5,379                              | 2,050         | 332              |
| Eligibility   | 7,722         | 5,824                              | 1,523         | 375              |
| Garnishments or attachments                                     | 6,045         | 4,132                              | 1,720         | 194              |
| When will the applicant get their check                         | 5,235         | 3,379                              | 1,750         | 106              |
| Status of an application in review                              | 3,728         | 2,470                              | 998           | 260              |
| Internet On-line Application                                    | 3,642         | 2,086                              | 1,348         | 208              |
| Status of an application in appeal                              | 1,721         | 1,056                              | 583           | 82               |
| Why an application was denied                                   | 1,329         | 734                                | 500           | 95               |
| Birth certificate   | 1,270         | 926                                | 284           | 59               |
| Social Security Number problem                                  | 953           | 643                                | 245           | 65               |
| Request for forms   | 773           | 410                                | 330           | 33               |
| How to complete a supplemental schedule                         | 733           | 393                                | 146           | 194              |
| Receipt Letter  | 648           | 301                                | 305           | 43               |
| Deceased applicant  | 622           | 343                                | 231           | 48               |
| Stop payment on a check   | 585           | 302                                | 188           | 96               |
| Why a person is not on file                                     | 555           | 270                                | 267           | 19               |
| Amount of the dividend for current or prior year                | 506           | 275                                | 190           | 41               |
| Requirements for being in Alaska (when applicant is absent)     | 398           | 322                                | 35            | 42               |
| IRS requirements  | 382           | 161                                | 188           | 33               |
| Child's application when two or more people filed for the child | 286           | 191                                | 79            | 16               |
| Absences and whether they are allowable for eligibility         | 233           | 162                                | 52            | 19               |
| Fraud tips  | 188           | 109                                | 62            | 18               |
| UA College Savings program                                      | 149           | 101                                | 42            | 5                |
| Other   | 102           | 9                                  | 81            | 11               |
| Eligibility for persons who are not U.S. citizens               | 64            | 25                                 | 26            | 12               |
| <b>Total</b>  | <b>99,279</b> | <b>67,711</b>                      | <b>27,363</b> | <b>4,205</b>     |
| <b>Number of Individual Calls<sup>1</sup></b>                   | <b>95,905</b> | <b>64,486</b>                      | <b>27,313</b> | <b>4,106</b>     |

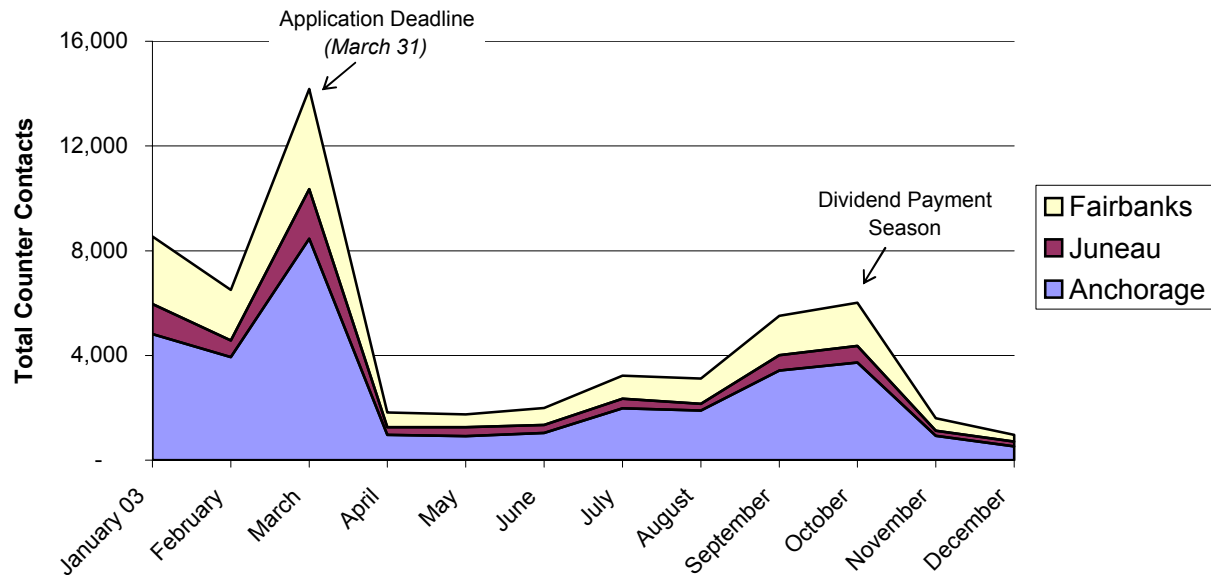
<sup>1</sup>More than one activity code may be entered on a individual telephone call when the call pertains to multiple questions.



## Dividend Information Office Monthly Activity (Detail by Information Office)

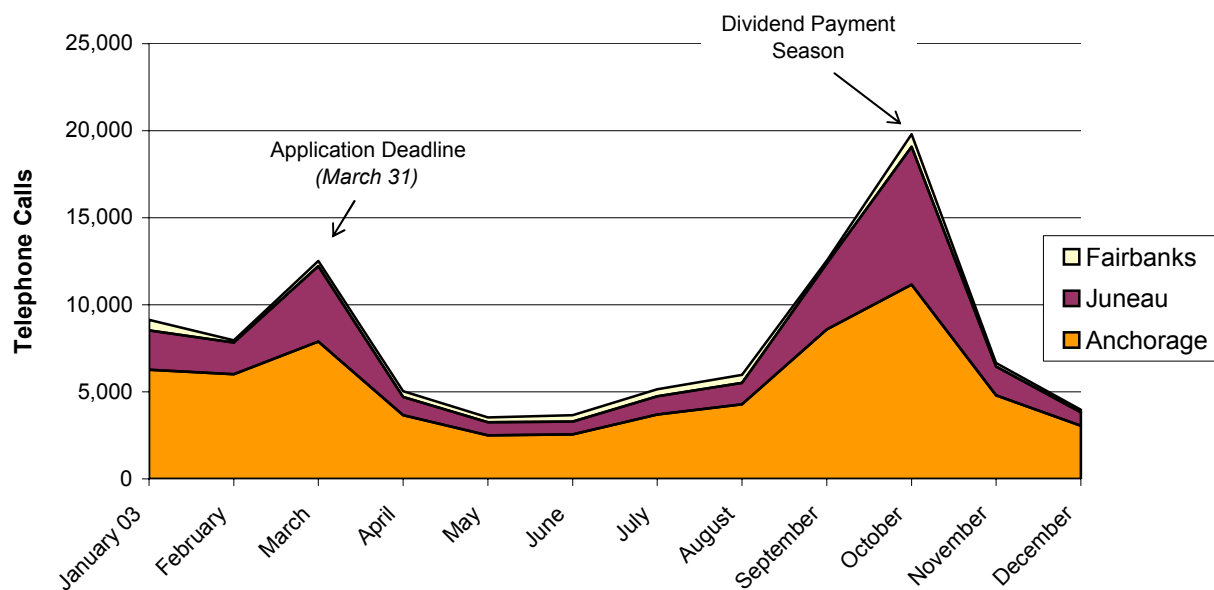
### Counter Contacts

Information office employees assist thousands of applicants who walk into the local offices. During 2003, employees assisted 55,216 applicants.



### Telephone Calls

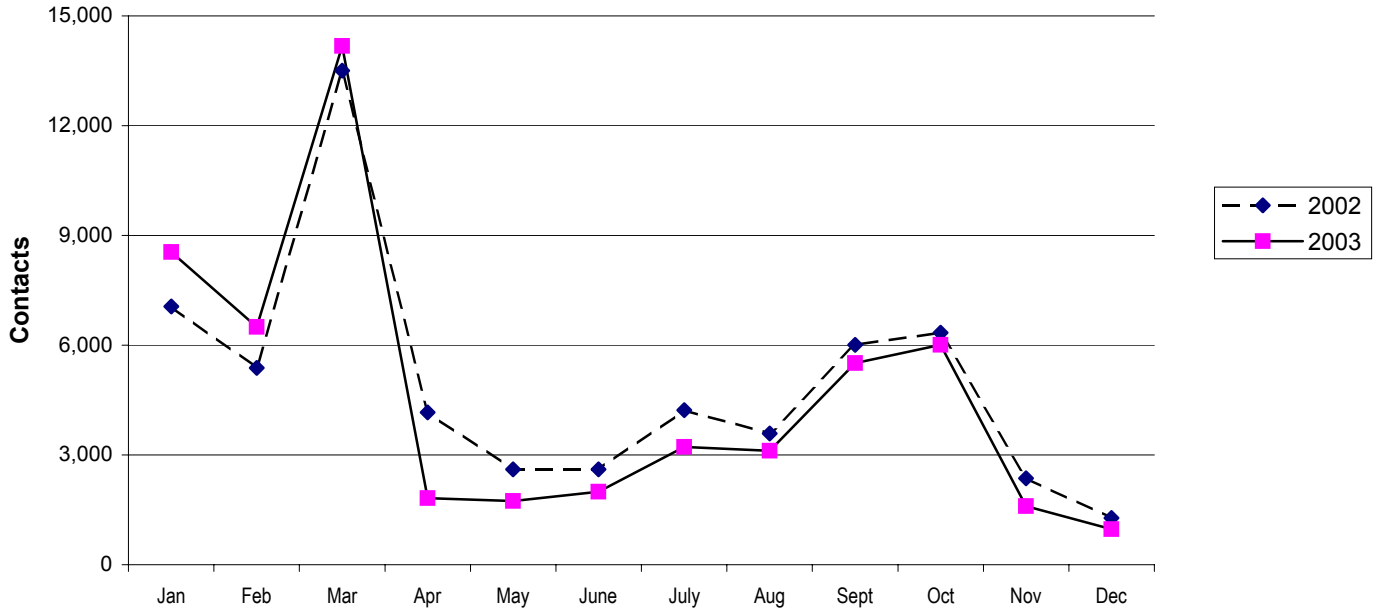
Information office employees respond to thousands of telephone calls each year from applicants requesting assistance. During 2003, employees answered 95,905 calls.



## Two-Year Comparison of Information Office Activity

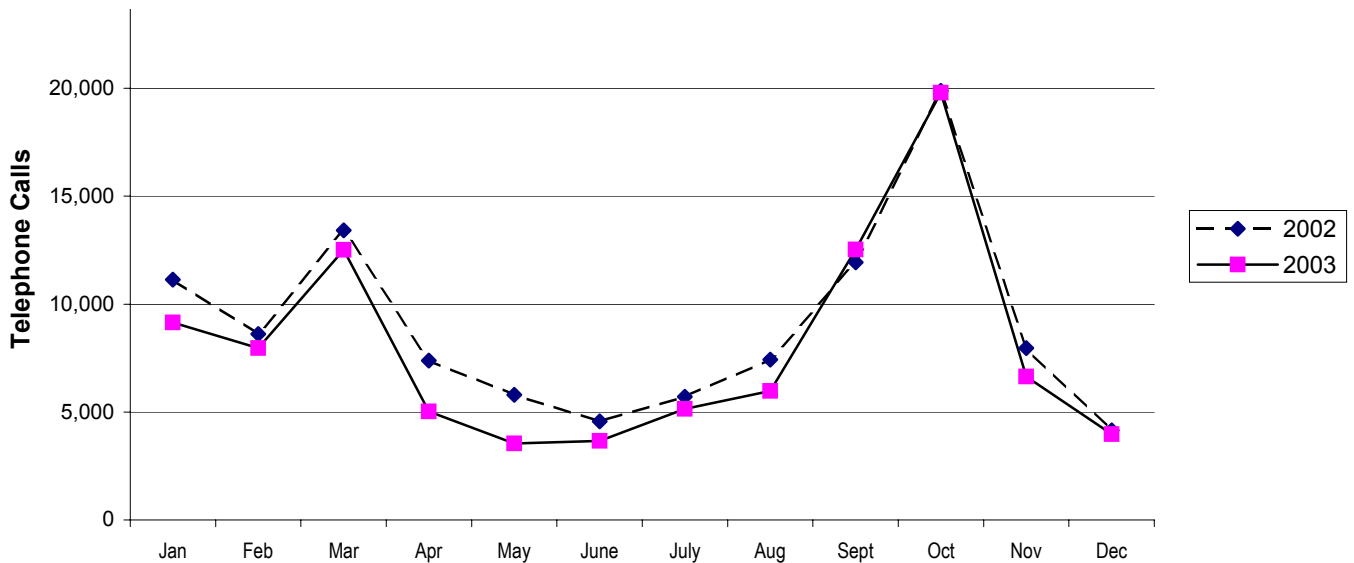
### Counter Contacts

Total counter contacts for 2002 and 2003 were approximately 60,100 and 55,200, respectively. Counter contacts are greatest during March prior to the filing due date. Counter contacts relate to persons seeking assistance for completing their application and inquiring about eligibility.



### Telephone Calls

Total telephone calls for 2002 and 2003 were approximately 107,500 and 95,900, respectively. Telephone call activity is greatest in October when dividend payments are made. Calls during this time relate to persons inquiring about the status of their payment or garnishments deducted from their dividend.



## **Review**

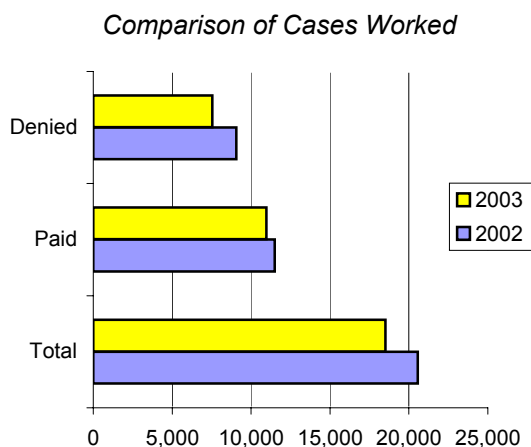
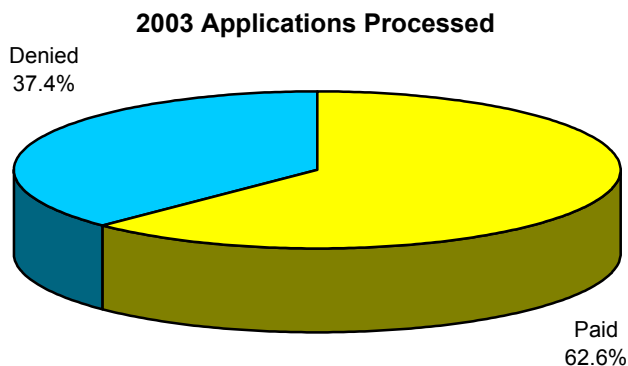
As applications are loaded onto the division's database, some are flagged for closer review based on the circumstances of the applicant. Applications that go through the division's review process are ones with complex eligibility issues.

The Review Unit works the applications flagged for review. Review staff make eligibility determinations from information submitted with the application and correspondence received from applicants. Staff consider the applicant's residency, intent to remain an Alaska resident, absences and physical presence in the state when making determinations. If staff determine that an individual is not eligible for a dividend, they will issue a letter notifying the applicant that his or her dividend application is denied. The letter also notifies the applicant of his or her right to appeal the decision.

### Review Caseload Activity

| 2002 Applications      |              |              |               | 2003 Applications                     |              |              |               |
|------------------------|--------------|--------------|---------------|---------------------------------------|--------------|--------------|---------------|
| 2003                   | Paid         | Denied       | Total         | 2003                                  | Paid         | Denied       | Total         |
| Jan                    | 725          | 721          | 1,446         | Jan                                   | 5            | 0            | 5             |
| Feb                    | 230          | 465          | 695           | Feb                                   | 210          | 34           | 244           |
| Mar                    | 103          | 173          | 276           | Mar                                   | 288          | 73           | 361           |
| Apr                    | 34           | 134          | 168           | Apr                                   | 362          | 72           | 434           |
| May                    | 36           | 44           | 80            | May                                   | 1,051        | 302          | 1,353         |
| Jun                    | 30           | 69           | 99            | Jun                                   | 700          | 273          | 973           |
| Jul                    | 14           | 27           | 41            | Jul                                   | 585          | 311          | 896           |
| Aug                    | 24           | 23           | 47            | Aug                                   | 1,148        | 606          | 1,754         |
| Sep                    | 14           | 6            | 20            | Sep                                   | 1,625        | 660          | 2,285         |
| Oct                    | 14           | 29           | 43            | Oct                                   | 1,675        | 1,193        | 2,868         |
| Nov                    | 26           | 6            | 32            | Nov                                   | 1,431        | 1,056        | 2,487         |
| Dec                    | 85           | 96           | 181           | Dec                                   | 547          | 1,171        | 1,718         |
| Processed in 2003      | <b>1,335</b> | <b>1,793</b> | <b>3,128</b>  | Processed in 2003                     | <b>9,627</b> | <b>5,751</b> | <b>15,378</b> |
| Processed in 2002      | 7,504        | 4,313        | 11,817        | % Paid/Denied                         | <b>62.6%</b> | <b>37.4%</b> |               |
| <b>Total Processed</b> | <b>8,839</b> | <b>6,106</b> | <b>14,945</b> | <b>Ending Inventory at 12/31/2003</b> |              |              | <b>158</b>    |
| % of Paid/Denied       | <b>59.1%</b> | <b>40.9%</b> | <b>100.0%</b> | <b>Total 2003 Review Cases</b>        |              |              | <b>15,536</b> |

Total Cases (All Years) Worked in 2003: **18,506**  
 Total Cases (All Years) Worked in 2002: **20,553**



## **Appeals**

A person whose application is denied for payment may appeal to the PFD Division if they disagree with their denial. If an application is denied, the applicant receives a letter from the division notifying him or her of the division's reason for denying the application. Along with the denial letter, the applicant receives as a form that the applicant can complete to request an appeal.

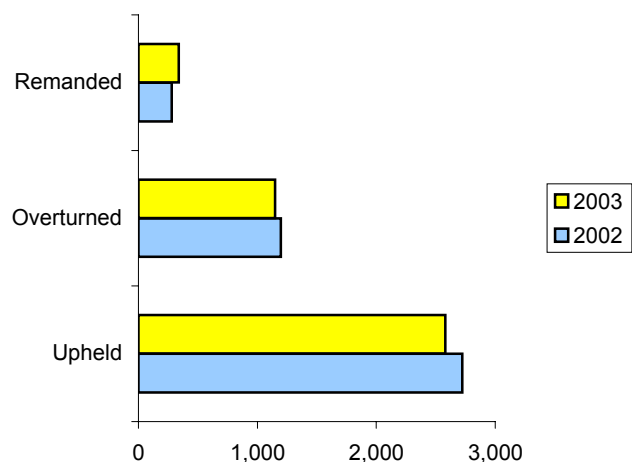
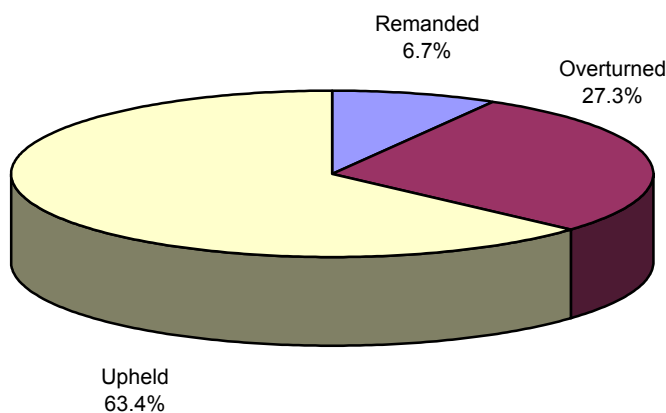
The Appeals Unit conducts informal appeal conferences with applicants to make a determination to uphold or overturn the denial decision. Appeals staff review each appeal and develop facts and information relating to matters in dispute by the applicant. They issue written decisions to applicants upon conclusion of an informal conference. If the applicant disagrees with the informal conference decision, a formal hearing may be requested. An Appeals officer represents the division at formal hearings.

## Informal Appeals Caseload Activity

Resolution of Cases Worked in 2002 and 2003 - Includes Current and Prior Dividend Years

|              | <b>Remanded to Review</b> |             | <b>Overtured and Paid</b> |              | <b>Upheld and Denied</b> |              | <b>Total</b> |              |
|--------------|---------------------------|-------------|---------------------------|--------------|--------------------------|--------------|--------------|--------------|
|              | <b>2002</b>               | <b>2003</b> | <b>2002</b>               | <b>2003</b>  | <b>2002</b>              | <b>2003</b>  | <b>2002</b>  | <b>2003</b>  |
| Jan          | 33                        | 29          | 152                       | 131          | 362                      | 398          | 547          | 558          |
| Feb          | 34                        | 26          | 175                       | 72           | 356                      | 276          | 565          | 374          |
| Mar          | 23                        | 36          | 78                        | 121          | 156                      | 234          | 257          | 391          |
| Apr          | 18                        | 18          | 68                        | 73           | 84                       | 311          | 170          | 402          |
| May          | 39                        | 92          | 45                        | 125          | 252                      | 217          | 336          | 434          |
| Jun          | 7                         | 41          | 72                        | 123          | 140                      | 241          | 219          | 405          |
| Jul          | 7                         | 10          | 64                        | 77           | 216                      | 102          | 287          | 189          |
| Aug          | 8                         | 20          | 85                        | 82           | 211                      | 137          | 304          | 239          |
| Sep          | 18                        | 21          | 81                        | 85           | 149                      | 299          | 248          | 405          |
| Oct          | 14                        | 20          | 103                       | 106          | 239                      | 214          | 356          | 340          |
| Nov          | 42                        | 14          | 124                       | 28           | 288                      | 39           | 454          | 81           |
| Dec          | 37                        | 12          | 151                       | 126          | 269                      | 111          | 457          | 249          |
| <b>Total</b> | <b>280</b>                | <b>339</b>  | <b>1,198</b>              | <b>1,149</b> | <b>2,722</b>             | <b>2,579</b> | <b>4,200</b> | <b>4,067</b> |
|              | <b>6.7%</b>               | <b>8.3%</b> | <b>28.5%</b>              | <b>27.3%</b> | <b>64.8%</b>             | <b>63.4%</b> | <b>100%</b>  | <b>100%</b>  |

|   | <b>2002</b> | <b>2003</b> |
|---|-------------|-------------|
| <i>Number of Cases to Formal Appeal</i> | <b>695</b>  | <b>456</b>  |
| <i>% of Cases to Formal Appeal</i>      | <b>26%</b>  | <b>18%</b>  |



Inventory of cases at end of 2003: **1,098**  
 Oldest case at end of 2003: **3 months**

## **Fraud Investigations**

The PFD Division investigates hundreds of applications each year based on information received from the public or other governmental agencies. Fraud tips are received from the public through direct contact or the division's toll-free fraud tip hotline. The informant's name and identity is kept confidential.

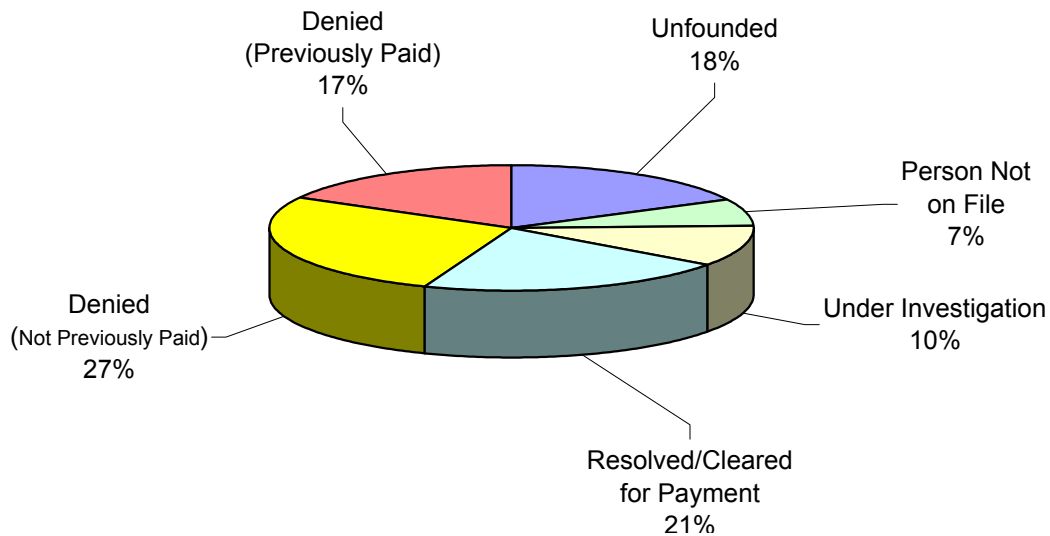
The most common sources of information from other governmental agencies are from the Alaska Court System and Division of Motor Vehicles. Additionally, the division conducts focused audits and investigates information that is obtained through the division's internal review process and anomalies noted in processing applications.

### Summary of Investigations

| Status                       | Source of Tip |                   | Total      |
|------------------------------|---------------|-------------------|------------|
|                              | Public        | Government Agency |            |
| Unfounded                    | 148           | 19                | 167        |
| Person Not on File           | 17            | 50                | 67         |
| Under Investigation          | 92            | 6                 | 98         |
| Resolved/Cleared for Payment | 160           | 40                | 200        |
| Denied (Not Previously Paid) | 245           | 15                | 260        |
| Denied (Previously Paid)     | 158           | 2                 | 160        |
| <b>Total</b>                 | <b>820</b>    | <b>132</b>        | <b>952</b> |

| Dividend Year | Public     | Government Agency | Total      |
|---------------|------------|-------------------|------------|
| 2003          | 502        | 123               | 625        |
| 2002          | 140        | 7                 | 147        |
| 2001          | 65         | 2                 | 67         |
| 2000          | 38         | 0                 | 38         |
| Prior Years   | 75         | 0                 | 75         |
| <b>Total</b>  | <b>820</b> | <b>132</b>        | <b>952</b> |

| Assessment and Payments Denied  |              |             |              |
|---------------------------------|--------------|-------------|--------------|
| Denied (Previously Paid)        | 158          | 2           | 160          |
| Amount Assessed to be Paid Back | \$261,240.20 | \$3,081.52  | \$264,321.72 |
| Denied (Not Previously Paid)    | 245          | 15          | 260          |
| Amount of Payments Denied       | \$306,517.80 | \$19,831.64 | \$326,349.44 |

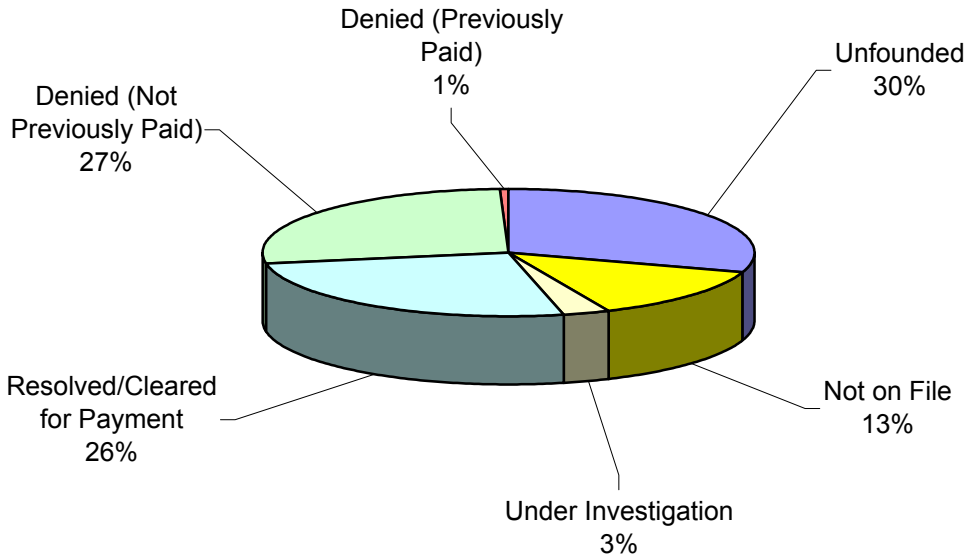


Note: Figures are for activity from April 16, 2003, the date that division's investigator position was filled after having been vacant the since the beginning of the year.



### Summary of Audits

| Status                                 | Audit Project |                       |             | Total               |
|--|---------------|-----------------------|-------------|---------------------|
|  | Jury          | Out-of-State Postmark | DMV         |                     |
| Unfounded                              | 0             | 0                     | 486         | 486                 |
| Not on File                            | 0             | 0                     | 214         | 214                 |
| Under Investigation                    | 37            | 0                     | 10          | 47                  |
| Resolved/Cleared for Payment           | 38            | 350                   | 28          | 416                 |
| Denied (Not Previously Paid)           | 48            | 380                   | 13          | 441                 |
| Denied (Previously Paid)               | 0             | 0                     | 9           | 9                   |
| <b>Total</b>                           | <b>123</b>    | <b>730</b>            | <b>760</b>  | <b>1,613</b>        |
| <b>Year</b>                            |               |                       |             |                     |
| 2003                                   | 123           | 730                   | 750         | 1,603               |
| 2002                                   | 0             | 0                     | 3           | 3                   |
| 2001                                   | 0             | 0                     | 3           | 3                   |
| 2000                                   | 0             | 0                     | 4           | 4                   |
| <b>Total</b>                           | <b>123</b>    | <b>730</b>            | <b>760</b>  | <b>1,613</b>        |
| <b>Assessments and Payments Denied</b> |               |                       |             |                     |
| Denied (Previously Paid)               | 0             | 0                     | 9           | 9                   |
| <b>Amount Assessed</b>                 | \$0.00        | \$0.00                | \$16,065.00 | <b>\$16,065.00</b>  |
| Denied (Not Previously Paid)           | 48            | 380                   | 13          | 441                 |
| Amount of Payments Denied              | \$53,162.88   | \$421,980.36          | \$14,390.72 | <b>\$489,533.96</b> |



**Jury** - Jury questionnaires of persons who decline jury duty because they claim they are not an Alaska resident.

**Out-of-state Postmark** - Applications mailed from out of state on which the applicant answered 'Yes' to the question 'Are you in Alaska today?'

**Division of Motor Vehicles (DMV)** - Information relating to persons who get an out-of-state driver's license or license plate.

This publication was released by the Alaska Department of Revenue and produced at a cost of \$x.xx per copy including printing and binding at ABC located in ABC, Alaska. Its purpose is to provide the public with comprehensive information and data regarding the Permanent Fund Dividend program.

The Department of Revenue complies with Title II of the Americans with Disabilities Act of 1990. This publication is available in alternative communication formats upon request. Please contact the division's representative at (907) 465-2326 or (907) 465-2868 (TTY) to make necessary arrangements.